

# On the Geometry of Numbers of Non-Convex Star-Regions with Hexagonal Symmetry

R. P. Bambah

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# ON THE GEOMETRY OF NUMBERS OF NON-CONVEX STAR-REGIONS WITH HEXAGONAL SYMMETRY

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A method of Mordell is applied to the study of critical determinants and critical lattices of a type of two-dimensional star-domains which can be roughly described as that of regions similar to  $|r^3 \sin 3\theta| \leq 8c^3$ . In part I three general theorems are proved. They are applied in part II to obtain the critical determinants and all the critical lattices of some special regions of this type.

## PART I. GENERAL THEOREMS

### 1. INTRODUCTION

The geometry of numbers for non-convex regions has in recent years attracted great attention, and many special regions have been studied by various authors. General results have also been obtained by Mordell (1945, 1946) and Mahler (1946*a, b*).

Mordell (1945) considered the type of star-regions  $R$  defined by the inequality

$$|f(|x|, |y|)| \leq c |f(1, 1)|,$$

where, for  $x \geq 0, y \geq 0$ , (i)  $f(x, y)$  is defined, is symmetrical in  $x$  and  $y$  and is homogeneous (of dimension 1, say), (ii) the region  $|f(x, y)| \geq |f(1, 1)|$  is convex, and (iii) the boundary of

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$R$  either terminates on the axes or has them as asymptotes. In other words the regions  $R$  have a symmetry which can be called rectangular.

Before stating Mordell's results it will be convenient to give a few standard definitions.

(1) Let  $R$  be any region and  $\mathcal{L}$  a lattice with no point except  $O$  in the interior of  $R$ . Then  $\mathcal{L}$  is said to be *admissible for  $R$*  or simply  *$R$ -admissible*.

(2) The lower bound of the determinants of all  $R$ -admissible lattices is called the *critical determinant of  $R$*  and is usually denoted by  $\Delta(R)$ .

(3)  $R$ -admissible lattices of determinant  $\Delta(R)$  are called *critical lattices of  $R$* .

For his regions  $R$  Mordell showed that there exist a suitable number  $\Delta$  and two suitable points  $A(a, b)$  and  $B(b, a)$  on the first quadrant boundary of  $R$  such that we have the following theorem.

**THEOREM.** *Let  $P$  be any point lying between  $A$  and  $B$  on the boundary of  $R$ . Then a line  $Q_1Q_2$  equal and parallel to  $OP$  can be drawn such that  $Q_1$  and  $Q_2$  lie on the second quadrant boundary of  $R$ . If, for all such points  $P$ , the area of the parallelogram  $OPQ_1Q_2$  is not less than  $\Delta$ , then every lattice of determinant  $\Delta$  or less has a point other than  $O$  in the closed region  $R$ , i.e.  $\Delta \leq \Delta(R)$ .*

*Further, if for some  $P$  the area of  $OPQ_1Q_2$  is equal to  $\Delta$  and if the corresponding lattice  $\mathcal{L}$  generated by  $P$  and  $Q_1$  is  $R$ -admissible, then  $\Delta = \Delta(R)$  and  $\mathcal{L}$  is a critical lattice of  $R$ .†*

To establish the property postulated for  $R$  it is necessary to solve an extremal problem, which, though simple in theory, may be difficult in practice. Mordell showed that the problem can be solved for many particular regions.

Let  $l_4Ol_1$ ,  $l_5Ol_2$  and  $l_6Ol_3$  be three lines through  $O$  such that  $Ol_2$  and  $Ol_3$  make angles of  $120^\circ$  and  $240^\circ$  respectively with  $Ol_1$ . These lines divide the plane into six congruent parts. At Professor Mordell's suggestion I have studied the possibility of extending his method to the case of similar star-regions  $R$ , symmetrical with respect to these lines and their bisectors and satisfying suitable convexity conditions. Obviously every such region  $R$  consists of six parts, all congruent. Such an  $R$  will be called a *region with hexagonal symmetry*.

It proves to be convenient to divide these regions into four types. For three of them, without postulating any extremal conditions for  $R$ , a number  $\Delta \leq \Delta(R)$  is obtained. In one of these cases it is shown that there is just one lattice of determinant  $\Delta$  admissible for  $R$  so that  $\Delta = \Delta(R)$  and there is just one critical lattice of  $R$ . In each of the other two cases there exist just two lattices of determinant  $\Delta$ , the admissibility of which is the necessary and sufficient condition for  $\Delta$  to be equal to  $\Delta(R)$ .

For  $R$  of the fourth type the problem is reduced to an extremal one of Mordell's type. First, a suitable number  $\Delta$  and two suitable points  $H_1$  and  $I_1$  are found with  $H_1$  and  $I_1$  lying on the boundary of  $R$  between  $Ol_1$  and  $Ol_6$ . (The points  $H_1$  and  $I_1$  lie between two other points  $A_1$  and  $B_1$  on the boundary of  $R$ . The points  $A_1$  and  $B_1$  have importance for critical lattices and are also easier to employ in applications.) Then the following theorem is proved:

*Let  $P$  be a point lying on the boundary of  $R$  between  $H_1$  and  $I_1$ , or coincident with  $A_1$  or  $B_1$ . Lines  $P_1P_2$  and  $P_3P_4$  can be drawn equal and parallel to  $OP$  with the points  $P_1, P_2$  lying on the second sector boundary of  $R$  and  $P_3, P_4$  on the third. Suppose that for all such  $P$  one at least of the parallelograms  $OPP_1P_2$ ,  $OPP_3P_4$  has an area not less than  $\Delta$ , then  $\Delta \leq \Delta(R)$ .*

† In particular, the admissibility of lattices corresponding to  $A$  and  $B$  is a sufficient condition for the equality of  $\Delta$  and  $\Delta(R)$ . For bounded  $R$ , Mordell gave sufficient conditions for the admissibility of these lattices. He could prove the admissibility of these lattices for some unbounded regions also.

If for some  $P$  the larger of the parallelograms  $OPP_1P_2$  and  $OPP_3P_4$  has area equal to  $\Delta$  and the corresponding lattice  $\mathcal{L}$  is admissible, then  $\Delta = \Delta(R)$  and  $\mathcal{L}$  is a critical lattice of  $R$ .

It is interesting to note that for three of the four types it has been possible to avoid the extremal condition which is necessary for the fourth type only.

In part II of the paper the results of part I are applied to obtain the critical determinants and all the critical lattices for the following regions.

- (1)  $|r^3 \sin 3\theta| \leq 8c^3$ . The result for this region is equivalent to Mordell's famous theorem on the minimum of a binary cubic form with a positive discriminant.
- (2) Regions with hexagonal symmetry bounded by circular arcs.
- (3) Regions with hexagonal symmetry bounded by parabolic arcs.
- (4) Regions with hexagonal symmetry bounded by hyperbolic arcs with asymptotic angles  $2\theta \geq \pi - 2 \tan^{-1} \sqrt{(159/57)}$ .
- (5) Twelve-sided stars with vertical angles  $2\theta$ , where  $\tan^{-1} 0.4221/\sqrt{3} \leq \theta \leq \frac{1}{2}\pi$ .

## 2. DESCRIPTION AND ANALYTICAL FORMULATION OF SOME PROPERTIES OF $R$

The assumptions about the star-region  $R$  are as follows. It is symmetrical with respect to the lines  $l_1Ol_4$ ,  $l_2Ol_5$ ,  $l_3Ol_6$  and their bisectors. Its boundary  $\mathcal{C}$  either terminates on the lines  $l_1Ol_4$ ,  $l_2Ol_5$  and  $l_3Ol_6$  or has them as asymptotes. The region  $\mathcal{T}$ , external to  $R$  and lying between  $Ol_1$  and  $Ol_6$ , is convex. Each of the six parts of  $\mathcal{C}$  is a continuous curve.

The analytical equivalents of these assumptions and of certain properties of  $R$  which can be derived from them are given below. Regarding the distance of a point  $P$  from a directed line  $l$  as positive or negative according as  $P$  is on the left or right of  $l$ , take the  $x$ ,  $y$  and  $z$  co-ordinates of a point to be its distances (with the proper sign) from the directed lines  $l_4Ol_1$ ,  $l_5Ol_2$  and  $l_6Ol_3$  respectively. (Obviously for every  $P$ ,  $x + y + z = 0$ .)

Then  $R$  can be defined by the inequality

$$f(x, y, z) \leq f(c, -2c, c),$$

where  $f$  is a non-negative function of  $x, y, z$ .

As  $R$  is a star-region bounded by continuous curves, we have

$$\left. \begin{array}{l} \text{for } x \geq 0, z \geq 0, f(x, y, z) \text{ is continuous;} \\ f(0, 0, 0) = 0; \text{ and for } t \geq 0, f(tx, ty, tz) = tf(x, y, z). \end{array} \right\} \quad (2.1)$$

The symmetry conditions imply symmetry about the origin. Therefore

$$f(-x, -y, -z) = f(x, y, z). \quad (2.2)$$

After (2.2) the symmetry conditions are easily seen to be equivalent to the invariance of  $R$  under a rotation of  $120^\circ$  or a reflexion in the line  $x = z$ . From this fact it easily follows that

$$f(x, y, z) \text{ is symmetric in all the variables.} \quad (2.3)$$

It is obviously enough for a full description of  $R$  to describe its part in the sector  $x \geq 0, z \geq 0$ . It will therefore be supposed in the rest of the section that  $x \geq 0, z \geq 0$ .

The convexity condition is equivalent to

$$f(x_1 + x_2, y_1 + y_2, z_1 + z_2) \geq f(x_1, y_1, z_1) + f(x_2, y_2, z_2). \quad (2.4)$$

\*  $\mathcal{L}$  is generated by  $P$  and  $P_1$  or  $P$  and  $P_3$  according as  $OPP_1P_2$  or  $OPP_3P_4$  has area equal to  $\Delta$ .

Let  $P_1(x_1, y_1, z_1)$  be any fixed point. Consider the region  $\mathcal{T}'$  defined by the inequality  $f(x, y, z) \geq f(x_1, y_1, z_1)$ . The curve  $f(x, y, z) = f(x_1, y_1, z_1)$  either has the line  $x = 0$  as an asymptote or terminates on it. Therefore, the point at  $\infty$  on the line  $x = x_1$  lies in  $\mathcal{T}'$ , so that, by the convexity of  $\mathcal{T}'$ , any point on the line  $x = x_1$  to the right of  $P$  lies in  $\mathcal{T}'$ . In other words, if  $z_2 > z_1$  then  $f(x_1, y_2, z_2) \geq f(x_1, y_1, z_1)$ . Therefore,

$$\text{for fixed } x, f(x, y, z) \text{ is a non-decreasing function of } z. \quad (2.5)$$

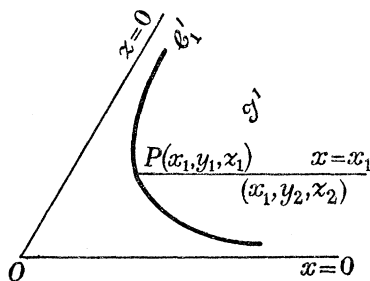


FIGURE 1

Similarly, using the fact that the region either terminates on  $z = 0$  or has it as an asymptote, it can be shown that

$$\text{for fixed } z, f(x, y, z) \text{ is a non-decreasing function of } x. \quad (2.6)$$

Let  $P_1$  be the point  $(1-r, -2, 1+r)$ , where  $0 \leq r \leq 1$ . As  $0 \leq 1-r \leq 1+r$ ,  $P_1$  lies in the lower half of the sector  $x \geq 0, z \geq 0$ . Consider the region  $\mathcal{T}'$  defined by the relation

$$f(x, y, z) \geq f(1-r, -2, 1+r).$$

Let its boundary be denoted by  $\mathcal{C}'$ . Suppose the line  $\Lambda_2: y = -2$  is not a part of  $\mathcal{C}'$ . As the region  $\mathcal{T}'$  is convex and symmetrical about the line  $\Lambda_1: x = z$  and  $\Lambda_2$  is perpendicular to  $\Lambda_1$ , the only points in which  $\Lambda_2$  meets  $\mathcal{C}'$  are  $P_1$  and  $P_2$ , the image of  $P_1$  in  $\Lambda_1$ . Consequently every point on  $\Lambda_2$  lying outside the segment  $P_1 P_2$  lies outside  $\mathcal{T}'$ . As  $P_1$  is below  $\Lambda_1$ ,  $P_2$  is above it, so that every point on  $\Lambda_2$ , which lies between  $x = 0$  and  $P_1$ , lies outside  $\mathcal{T}'$ . This means that if  $0 \leq r_2 \leq 1$  and  $r_2 > r$ , then  $f(1-r_2, -2, 1+r_2) < f(1-r, -2, 1+r)$ . In case  $\Lambda_2$  forms a part of  $\mathcal{C}'$ , any point on it either lies on  $\mathcal{C}'$  or outside  $\mathcal{T}'$ , so that if  $0 \leq r_2 \leq 1$ , then  $f(1-r_2, -2, 1+r_2) \leq f(1-r, -2, 1+r)$ . From all this it follows that

$$\text{if } 0 \leq r \leq 1, \text{ then } f(1-r, -2, 1+r) \text{ is a non-increasing function of } r. \quad (2.7)$$

Let  $P$  be the point with co-ordinates  $(a-r, -2a + \frac{1}{2}r, a + \frac{1}{2}r)$  and  $\mathcal{T}''$  the region

$$f(x, y, z) \geq f(a-r, -2a + \frac{1}{2}r, a + \frac{1}{2}r).$$

Suppose the co-ordinates of  $P'$ , the point where  $\Lambda_1: x = z$ , meets  $\mathcal{C}''$ , the boundary of  $\mathcal{T}''$ , are given by  $(c_1, -2c_1, c_1)$ . Then, because of its convexity and symmetry about  $\Lambda_1$ ,  $\mathcal{T}''$  has  $\Lambda_3$ , the line through  $P'$  perpendicular to  $\Lambda_1$ , as a tangent or a tac-line. Consequently the region  $\mathcal{T}''$ , and in particular the point  $P$ , lies to the right of  $\Lambda_3: y + 2c_1 = 0$ . Therefore,  $a > c_1$ , and  $(a, -2a, a)$  the point, where  $z - y = 3a$  meets  $\Lambda_1$  lies strictly inside  $\mathcal{T}''$ . From this it follows that any point on  $z - y = 3a$  lying below  $P$  is strictly outside  $\mathcal{T}''$ . This means that if  $r_1 > r$  and  $0 \leq a - r_1 \leq a + \frac{1}{2}r_1$ , then  $f(a - r_1, -2a + \frac{1}{2}r_1, a + \frac{1}{2}r_1) < f(a - r, -2a + \frac{1}{2}r, a + \frac{1}{2}r)$ . In other words

$$\text{for } 0 \leq a - r \leq a + \frac{1}{2}r, f(a - r, -2a + \frac{1}{2}r, a + \frac{1}{2}r) \text{ is a strictly decreasing function of } r. \quad (2.8)$$

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It may be observed in conclusion that if  $R$  is bounded, then  $f(x, y, z) = 0$  only for  $(x, y, z) = (0, 0, 0)$ . On the other hand, if  $R$  is unbounded, then

$$f(0, a, -a) = f(a, 0, -a) = f(a, -a, 0) = 0$$

for all  $a$ .

It will be convenient to call the sectors into which the plane is divided by the lines  $x = 0$ ,  $y = 0$  and  $z = 0$ , sectors I, II, III, IV, V and VI, starting with the sector  $x \geq 0, z \geq 0$  and moving in the counter-clockwise direction. Also, if a point is denoted by  $P_1$ , then  $P_2, P_3, P_4, P_5$  and  $P_6$  will denote the points obtained from it by the rotations through  $60^\circ, 120^\circ, 180^\circ, 240^\circ$  and  $300^\circ$  respectively.

3. THE FIRST TYPE OF REGIONS  $R$ 

Let  $R$  be defined by  $f(x, y, z) \leq f(c, -2c, c), x + y + z = 0$ .  $R$  will be said to belong to the first type if, in addition to the conditions (2.1) to (2.8),  $f(x, y, z)$  satisfies the further condition

$$f(0, -3c, 3c) \geq f(c, -2c, c). \quad (3.1)$$

**THEOREM 1.** *If  $R : f(x, y, z) \leq f(c, -2c, c)$ , is a region of the first type, then  $\Delta(R) = 2c^2 \sqrt{3}$ , and the only critical lattice is the one generated by the mid-points of its boundary arcs.*

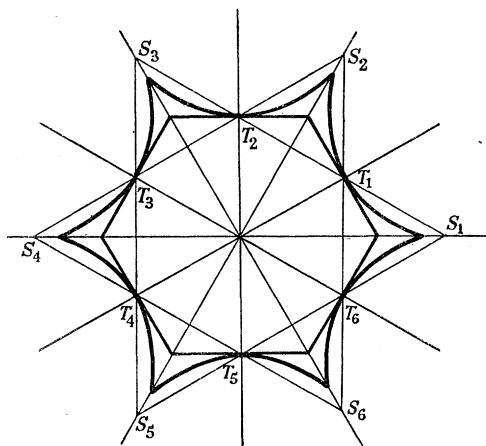


FIGURE 2

*Proof.* Let the point  $(c, -2c, c)$  be called  $T_1$  (see figure 2). From the symmetry and convexity conditions it can be easily seen that the straight lines perpendicular to lines  $OT_i$  at points  $T_i$  ( $i = 1, 2, 3, \dots, 6$ ) enclose a regular hexagon  $H$ , centred at  $O$  and lying in  $R$ . The area of  $H$  is given by  $6OT_1^2 \tan \frac{1}{6}\pi = 8c^2 \sqrt{3}$ . As  $H$  is a subset of  $R$ , it is clear that

$$2c^2 \sqrt{3} = \Delta(H) \leq \Delta(R).$$

Further, it is known that  $H$  has just one critical lattice, namely,  $\mathcal{L}$ , the lattice generated by points  $T_i$ . It will suffice, therefore, to show that this lattice is  $R$ -admissible.

Let  $S_1$  denote the point  $T_6 + T_1$  in vector notation. Its co-ordinates are  $(0, -3c, 3c)$ . Therefore by (3.1)  $S_1$  is external to  $R$  and so are  $S_2, S_3, \dots, S_6$ . Here the exterior of  $R$  is supposed to include its boundary. By the convexity condition,  $R$  lies entirely within the hexagon  $S_1 S_2 S_3 S_4 S_5 S_6$ . The only points of  $\mathcal{L}$  within this hexagon are  $T_1, T_2, \dots, T_6$ , all of which lie on the boundary of  $R$ . Therefore,  $\mathcal{L}$  is  $R$ -admissible and the theorem is completely proved.

4. DETERMINATION OF THE POINTS  $A_1$ ,  $B_1$  AND THE NUMBER  $\Delta$ 

In the rest of part I it will be supposed that  $f(x, y, z)$  satisfies, in addition to (2.1) to (2.8), the further condition

$$f(0, -3, 3) < f(1, -2, 1). \quad (4.1)$$

It will now be shown that in the half-sector  $0 \leq x \leq z$  there exists a unique point  $A_1$  such that the points  $A_1, A_2$  and  $A_1 + A_2$  lie on  $\mathcal{C}$ , the boundary of  $R$ . This point  $A_1$  and its image  $B_1$  in the line  $x = z$  are the two points referred to in the introduction. Denote  $A_1 + A_2$  by  $C_1$  and its image in  $x = z$  by  $D_1$ . Then from the symmetry of  $R$  it is obvious that all points  $A_i, B_i, C_i, D_i$  ( $i = 1, 2, \dots, 6$ ) lie on  $\mathcal{C}$ .

Now let the co-ordinates of  $A_1$  be  $(a, -a-b, b)$ . Then those of  $A_2$  are  $(a+b, -b, -a)$  and the existence and uniqueness of  $A_1$  follow from

LEMMA 1. *There exist unique non-negative numbers  $a$  and  $b$ , not both zero, such that*

$$f(c, -2c, c) = f(a, -a-b, b) = f(2a+b, -a-2b, b-a). \quad (4.2)$$

Further, 
$$0 < \frac{1}{2}b \leq a < b. \quad (4.3)$$

*Proof.* By the symmetry of  $f(x, y, z)$ , the relations (4.2) are equivalent to

$$f(c, -2c, c) = f(a, -a-b, b), \quad (4.4)$$

and 
$$\begin{aligned} 0 &= f(a, -a-b, b) - f(2a+b, -a-2b, b-a) \\ &= f(a, -a-b, b) - f(b-a, -a-2b, 2a+b) \\ &= (a+b) \left\{ \frac{1}{2}f(1-r, -2, 1+r) - f(1-r_1, -2 + \frac{1}{2}r_1, 1 + \frac{1}{2}r_1) \right\}, \end{aligned} \quad (4.5)$$

where 
$$r = (b-a)/(b+a) \quad \text{and} \quad r_1 = 2a/(a+b).$$

As  $a+b \neq 0$ , (4.5) is equivalent to

$$\begin{aligned} 0 &= \frac{1}{2}f(1-r, -2, 1+r) - f(1-r_1, -2 + \frac{1}{2}r_1, 1 + \frac{1}{2}r_1) \\ &= g_1(a/b) + g_2(a/b) \quad (\text{say}) \\ &= g(a/b). \end{aligned} \quad (4.6)$$

Now, as  $a/b$  increases from 0 to 1,  $r$  decreases from 1 to 0, while  $r_1$  increases from 0 to 1. Therefore, for  $0 \leq a/b \leq 1$ , it follows from (2.7) and (2.8) respectively that  $g_1(a/b)$  and  $g_2(a/b)$  are respectively increasing and strictly increasing functions of  $a/b$ . This implies that  $g(a/b)$  is a strictly increasing function of  $a/b$  for  $0 \leq a/b \leq 1$ . Now, since

$$g\left(\frac{1}{2}\right) = f\left(\frac{1}{3}, -1, \frac{2}{3}\right) - f\left(\frac{1}{3}, -\frac{5}{3}, \frac{4}{3}\right) \leq 0 \quad (\text{by (2.5)}),$$

and 
$$g(1) = f\left(\frac{1}{2}, -1, \frac{1}{2}\right) - f\left(0, -\frac{3}{2}, \frac{3}{2}\right) > 0 \quad (\text{by (4.1)}),$$

the lemma follows at once.

LEMMA 1.1.  $a^2 + ab + b^2 \geq 3c^2$ .

*Proof.* Let  $T_1$  denote the point  $(c, -2c, c)$ . Then, by the symmetry and convexity conditions  $OA_1 \geq OT_1$ . Therefore

$$\frac{4}{3}(a^2 + ab + b^2) \geq 4c^2,$$

and the lemma follows.

*Definition of  $\Delta$ .* Define the number  $\Delta$  to be the area of the parallelogram  $OA_1C_1A_2$ , i.e.

$$\Delta = \frac{2}{\sqrt{3}}(a^2 + ab + b^2). \quad (4.7)$$

## 5. DESCRIPTION OF FIGURE 3

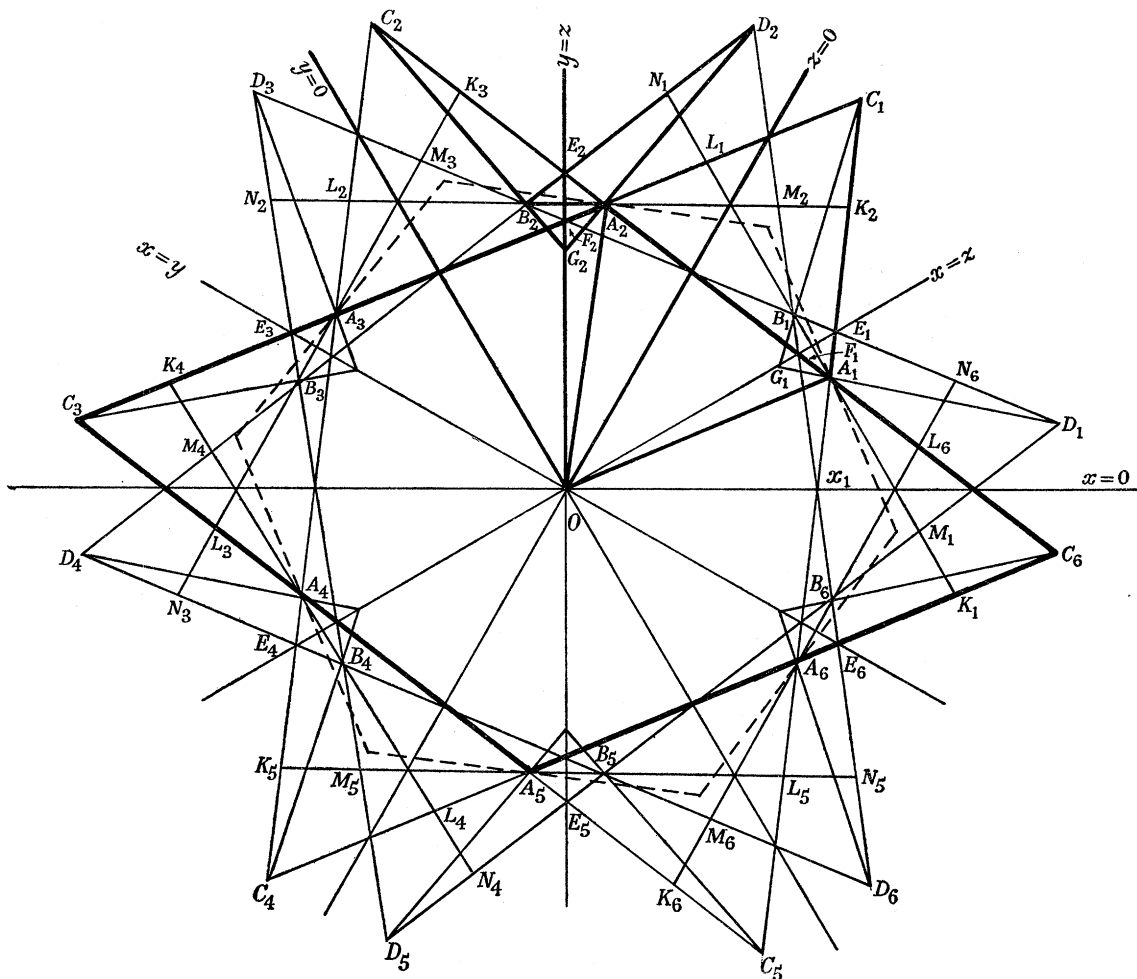


FIGURE 3

Table 1 gives the co-ordinates of the points  $A_i$ ,  $B_i$ ,  $C_i$  and  $D_i$  ( $i = 1, 2, 3$ ).

TABLE 1

$A_1:$	$(a, -a-b, b)$	$A_2:$	$(a+b, -b, -a)$	$A_3:$	$(b, a, -a-b)$
$B_1:$	$(b, -a-b, a)$	$B_2:$	$(a+b, -a, -b)$	$B_3:$	$(a, b, -a-b)$
$C_1:$	$(2a+b, -a-2b, b-a)$	$C_2:$	$(a+2b, a-b, -b-2a)$	$C_3:$	$(b-a, 2a+b, -a-2b)$
$D_1:$	$(b-a, -a-2b, 2a+b)$	$D_2:$	$(a+2b, -b-2a, a-b)$	$D_3:$	$(2a+b, b-a, -a-2b)$

Now follow the equations of a few lines:

$$\begin{aligned}
 A_1A_2: & \quad ax - by = a^2 + ab + b^2; & B_1B_2: & \quad bx - ay = a^2 + ab + b^2; \\
 A_2A_3: & \quad bx - az = a^2 + ab + b^2; & B_2B_3: & \quad ax - bz = a^2 + ab + b^2; \\
 A_2B_2: & \quad x = a + b; & A_2D_2: & \quad 2ax + by = 2a^2 + 2ab - b^2.
 \end{aligned}$$

Define the points  $E_2$ ,  $F_2$  and  $G_2$  as follows:

- $E_2$ : the intersection of  $A_2C_2$  and  $B_2D_2$ .
- $F_2$ : the intersection of  $C_1A_2$  and  $D_3B_2$ .
- $G_2$ : the intersection of  $D_2A_2$  and  $C_2B_2$ .



Then, the co-ordinates of  $E_2, F_2$  and  $G_2$  are

$$\begin{aligned} E_2: & \left( \frac{2(a^2+ab+b^2)}{(b+2a)}, -\frac{(a^2+ab+b^2)}{(b+2a)}, -\frac{(a^2+ab+b^2)}{(b+2a)} \right), \\ F_2: & \left( \frac{2(a^2+ab+b^2)}{(a+2b)}, -\frac{(a^2+ab+b^2)}{(a+2b)}, -\frac{(a^2+ab+b^2)}{(a+2b)} \right), \\ G_2: & \left( \frac{2(2a^2+2ab-b^2)}{(4a-b)}, -\frac{(2a^2+2ab-b^2)}{(4a-b)}, -\frac{(2a^2+2ab-b^2)}{(4a-b)} \right). \end{aligned}$$

*The relative positions of points and lines in figure 3*

Because of the symmetry it is sufficient to consider only sector II. The statement 'AB is above CD', will mean that the line segment AB is above the infinite line obtained by producing CD in both directions.

$D_2B_2$  lies above  $A_2B_2$ , since  $D_2$  is above  $A_2B_2$ , for  $a+2b > a+b$ .

$F_2$  lies below  $A_2B_2$ , since  $\frac{2(a^2+ab+b^2)}{(a+2b)} < a+b$ , because  $a^2 < ab$ .

$G_2$  is below  $F_2$ , since

$$\frac{2a^2+2ab-b^2}{4a-b} < \frac{a^2+ba+b^2}{a+2b},$$

i.e.

$$2a^3+6a^2b+3ab^2-2b^3 < 4a^3+3a^2b+3ab^2-b^3$$

follows from

$$2a^3+b^3-3a^2b = (b-a)^2(b+2a) > 0.$$

If  $(x_1, y_1, z_1)$  are the co-ordinates of a point  $P$ , write

$$x_1 = P(x), \quad y_1 = P(y), \quad z_1 = P(z), \quad z_1 - y_1 = P(z-y), \text{ etc.}$$

Then  $C_1$  lies to the right of  $D_2$ , which lies to the right of  $A_2$ , since

$$C_1(z-y) > D_2(z-y) > A_2(z-y),$$

because

$$3b > 3a > b-a,$$

$$D_2(x) > C_1(x), \quad \text{since } b > a.$$

$$D_1(x) \leq A_1(x), \quad \text{since } b \leq 2a.$$

Next observe that the line through  $A_2$  perpendicular to  $OA_2$  lies between  $A_2B_2$  and  $A_2C_2$ , for

(i)  $\angle B_2A_2O < \frac{1}{2}\pi$ , since  $B_2A_2$  is parallel to  $x = 0$ , and

(ii)  $\angle C_2A_2O = \angle C_1A_1O > \angle C_1x_1O$  (where  $x_1$  is the point where  $C_1A_1$  meets  $x = 0$ )

$$> \frac{1}{2}\pi,$$

since the slope of  $A_1C_1 = \sqrt{3}(a+b)/(b-a) > 0$ .

From the above it follows that the lines perpendicular to  $OA_i$  at  $A_i$  ( $i = 1, 2, \dots, 6$ ) form a hexagon whose parts, that do not lie in  $R$ , lie entirely inside the segments  $A_1B_1C_1, A_2B_2C_2, \dots, A_6B_6C_6$ . By a segment  $A_iB_iC_i$  is meant the closed region bounded by the chord  $A_iC_i$  and the arc  $A_iB_iC_i$  of  $\mathcal{C}$ .

Since the triangles  $OA_1A_2, OA_2A_3$  and  $A_2C_2A_3$  are equilateral, it is easily seen that  $A_1A_2$  is equal to  $A_2C_2$ , is parallel to  $OA_3$  and passes through  $C_2$ . Similarly,  $A_1A_2$  passes through  $C_6$  and  $C_2$ ,  $A_2A_3$  through  $C_1$  and  $C_3$ , etc.  $A_1A_2 = OA_3 = A_2C_2 = C_6A_1$ , etc.,  $OA_1$  is parallel to  $A_2A_3$ ,  $OB_1$  to  $B_2B_3$  and so on.  $A_1B_1, A_2B_2$  and  $A_3B_3$  are parallel respectively to  $y = 0, x = 0$  and  $z = 0$ .

It is now obvious that each of the parallelograms

$$C_6A_2C_3A_5, C_1A_3C_4A_6, C_2A_4C_5A_1, D_1B_2D_4B_5, D_2B_3D_5B_6 \text{ and } D_3B_4D_6B_1$$

has its area equal to  $4\Delta$ .

### 6. THE TYPES II, III AND IV

Let the straight line  $C_1A_2$  meet  $\mathcal{C}_2$ , the second sector boundary of  $R$ , at the point  $H_2$ . The regions are classified into types II, III and IV by consideration of the relative positions of the points  $A_2$ ,  $H_2$  and  $F_2$  as follows.  $R$  is said to be of type

II: if  $A_2$  lies between  $H_2$  and  $F_2$  (or if  $A_2$  coincides with  $H_2$ ) (see figure 4);

III: if  $H_2$  lies between  $A_2$  and  $F_2$  (figure 5);

IV: if  $F_2$  lies between  $A_2$  and  $H_2$  (figure 6).

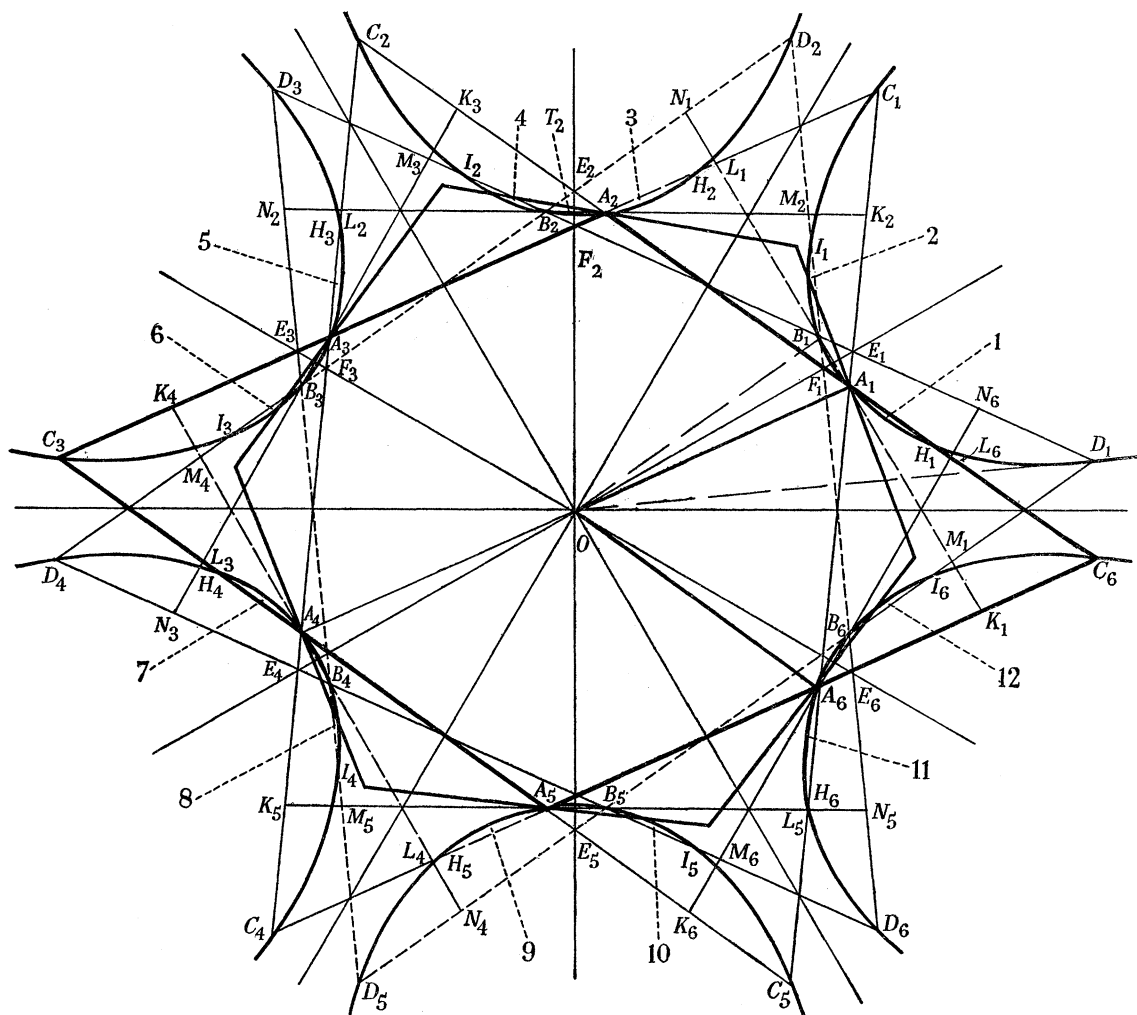


FIGURE 4

If a part of  $C_1A_2$  to the left of  $A_2$  forms a part of  $\mathcal{C}$ ,  $R$  is included in type III. If  $\mathcal{C}$  contains a segment of the line  $C_1A_2$ , extending on both sides of  $A_2$ ,  $R$  can be taken to belong to type II, when considering the segment on the right of  $A_2$ , while  $R$  can be taken to be of type III when

considering the segment on the left of  $A_2$ . As theorem 2 applies to both types II and III, it will cover this type of  $R$ , too.

Note that the point  $T_2(2c, -c, -c)$  lies above the line  $C_1A_2$  in cases II and III but below it in case IV. The case when  $T_2$  lies on  $A_2C_1$ , i.e.  $F_2$  and  $H_2$  coincide, or  $A_2F_2$  forms a part of  $\mathcal{C}_2$ , may be included in type III.

The reflexion of  $H_2$  in the line  $z = y$  is denoted by  $I_2$ .

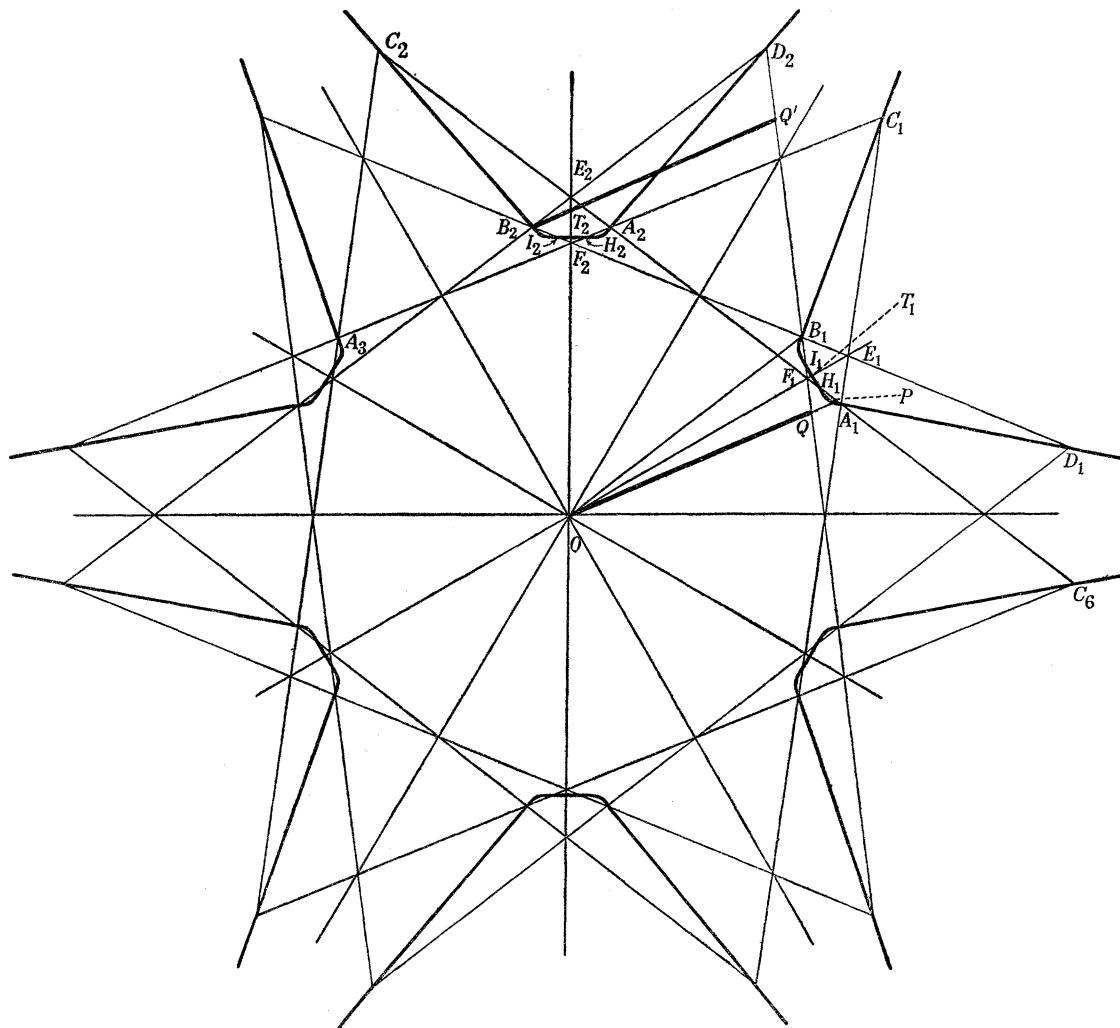


FIGURE 5

### 7. STATEMENT OF MAIN THEOREMS

Let the lattices generated by  $A_1$  and  $A_2$  and by  $B_1$  and  $B_2$  be denoted by  $\mathcal{L}_1$  and  $\mathcal{L}_2$ .

**THEOREM 2.** For  $R$  of type II or III,  $\Delta(R)$  is not less than  $\Delta$ . Also,  $\Delta(R)$  is equal to  $\Delta$  if and only if  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are  $R$ -admissible.

**THEOREM 3.** Let  $R$  belong to type IV. Let  $P$  be either  $A_1$  or  $B_1$ , or a point on  $\mathcal{C}_1$  between  $H_1$  and  $I_1$ , inclusive. Straight lines  $P_1P_2$  and  $P_3P_4$  can be drawn equal and parallel to  $OP$  with points  $P_1$  and  $P_2$  lying on  $\mathcal{C}_2$ , and  $P_3$  and  $P_4$  on  $\mathcal{C}_3$ , where  $\mathcal{C}_2$  and  $\mathcal{C}_3$  denote the parts of  $\mathcal{C}$  in the second and third sectors respectively. Suppose, for all these  $P$ , the area of one at least of the parallelograms  $OPP_1P_2$ ,  $OPP_3P_4$  is not less than  $\Delta$ . Then  $\Delta(R) \geq \Delta$ .

If, for some  $P$ , the area of the greater of the parallelograms  $OPP_1P_2$ ,  $OPP_3P_4$  is equal to  $\Delta$  and the corresponding lattice  $\mathcal{L}'$  is admissible, then  $\Delta(R) = \Delta$  and  $\mathcal{L}'$  is a critical lattice of  $R$ . In particular  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are critical if admissible.

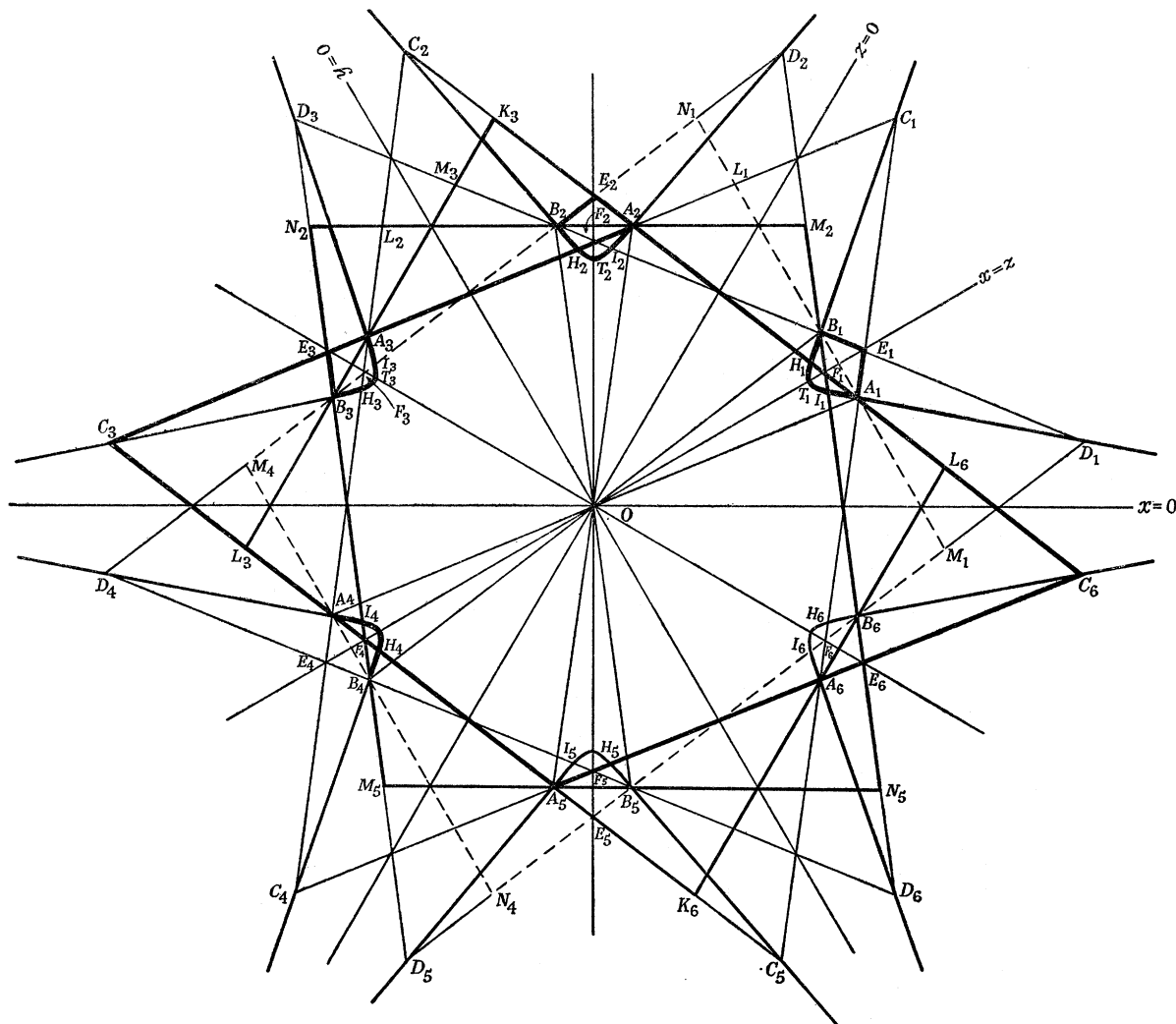


FIGURE 6

## 8. SOME SIMPLE LEMMAS

A few well-known lemmas which will be employed in the proofs of the above theorems are given below.

**LEMMA 2 (Minkowski).** *Let  $R$  be a closed convex region symmetrical with respect to  $O$  and having area equal to  $4\Delta$ . Then every lattice  $\mathcal{L}$  of determinant not greater than  $\Delta$  has at least two points inside or on the boundary of  $R$ . These points may lie only on the boundary of  $R$  only if the determinant of  $\mathcal{L}$  is equal to  $\Delta$ .*

**LEMMA 3.** *Let  $\pi$  be a parallelogram with a vertex at  $O$  and with its area equal to  $\Delta$ . Then, if  $\mathcal{L}$  is a lattice of determinant  $\Delta$ ,  $\pi$  cannot contain two points  $P$  and  $Q$  of  $\mathcal{L}$ , non-collinear with  $O$ , unless one of them,  $P$  say, coincides with a vertex on a side of  $\pi$  through  $O$  and the other  $Q$  lies on the side parallel to  $OP$ .*

\*  $\mathcal{L}'$  is generated by  $P$  and  $P_1$  or  $P$  and  $P_3$  according as the area of  $OPP_1P_2$  or  $OPP_3P_4$  is equal to  $\Delta$ .

LEMMA 4. If  $\mathcal{L}$  is a lattice of determinant  $\Delta$  and  $OPQ$ , a triangle with area  $\frac{1}{2}\Delta$ , then  $OPQ$  cannot contain two points of  $\mathcal{L}$ , non-collinear with  $O$ , unless they coincide with  $P$  and  $Q$ .

LEMMA 5. Suppose  $\mathcal{L}$  is a lattice of determinant  $\Delta$  and  $P$  is a primitive lattice point of  $\mathcal{L}$ , i.e. the line segment  $OP$  contains no points of  $\mathcal{L}$  other than  $O$  and  $P$ . Let  $\Lambda$  be a line parallel to  $OP$  and at a distance  $\Delta/OP$  from it. Then any segment of  $\Lambda$  of length  $OP$  contains one and only one lattice point, except that it contains two if the end-points belong to  $\mathcal{L}$ .  $\mathcal{L}$  can be generated by  $P$  and any lattice point on  $\Lambda$ .

## 9. OUTLINE OF THE PROOF

Suppose that there exists an  $R$ -admissible lattice  $\mathcal{L}'$  of determinant  $\Delta$  different from  $\mathcal{L}_1$  and  $\mathcal{L}_2$ . It is first shown with the help of certain parallelograms of area  $4\Delta$  that  $\mathcal{L}'$  has a point  $P$  in one at least of  $[A_1, E_1, B_1]$ ,  $[A_2, E_2, B_2]$  and  $[A_3, E_3, B_3]$ , where  $[A_i, E_i, B_i]$  denotes the closed region bounded by the lines  $A_iE_i$  and  $E_iB_i$  and the part of  $\mathcal{C}$  lying between  $A_i$  and  $B_i$ ; the points  $A_i$  and  $B_i$ , however, are supposed to be excluded from  $[A_i, E_i, B_i]$ . Without loss of generality it may be assumed that  $P$  lies in  $[A_1, E_1, B_1]$ . Then it is shown that neither  $[A_2, E_2, B_2]$  nor  $[A_3, E_3, B_3]$  contains a point of  $\mathcal{L}'$ . For  $R$  of type II this is shown to lead to a contradiction which proves the theorem in this case. For  $R$  of type III or IV, it is proved that  $P$  must lie in  $[A_1, F_1, B_1]$  (see figure 6 for example).

It is next shown that, if a line parallel to  $OP$  and at a distance  $\Delta/OP$  from it has an intercept less than  $OP$  cut off by  $\mathcal{C}_2$  or  $\mathcal{C}_3$ , then  $\mathcal{L}'$  cannot exist. This condition is seen to be satisfied if  $P$  lies in  $[A_1, F_1, I_1]$  or  $[B_1, F_1, H_1]$ . From this fact theorem 2 follows for  $R$  of type III also (see figure 5).

For  $R$  of type IV there is still the possibility that  $P$  lies in  $[H_1, F_1, I_1]$ . A sufficient condition to exclude this is seen to be provided by theorem 3. However, in this case there may be an  $\mathcal{L}'$  with points on  $\mathcal{C}$ , the boundary of  $R$ . But this does not contradict theorem 3.

In Lemma 17 a result is proved which may be useful in deciding, in the case of bounded  $R$ , whether  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible or not.

## 10. PROOFS OF THEOREMS 2 AND 3

In the proofs the following notation will be adopted.

If points  $P$  and  $Q$  lie on  $\mathcal{C}$ , then  $[P, Q]$  will denote the closed region bounded by the straight line  $PQ$  and the arc  $PQ$  of  $\mathcal{C}$ . Also  $[P, S, Q]$  will denote the closed region bounded by straight lines  $PS$  and  $SQ$  and the arc  $PQ$  of  $\mathcal{C}$ , and  $(P, Q, R, S)$  the closed region lying between the straight lines  $PQ, QR, RS$  and the part of  $\mathcal{C}$  intercepted in the quadrilateral  $PQRS$ . For example, the shaded region in the figure is to be denoted by  $(P, Q, R, S)$ .

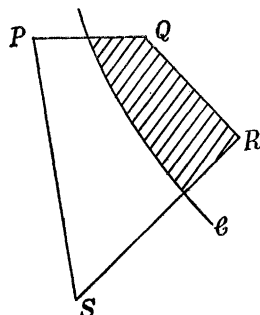


FIGURE 7

It will throughout be assumed that any  $A_i$  or  $B_i$  lying in these regions is excluded from them. Suppose that there exists an  $R$ -admissible lattice  $\mathcal{L}'$ , different from  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , but with its determinant equal to  $\Delta$ .

LEMMA 6. Any  $A_i$  or  $B_i$  cannot belong to  $\mathcal{L}'$ .

*Proof.* Suppose, for example, that  $A_1$  belongs to  $\mathcal{L}'$ . Then  $A_1$  must be primitive, for, otherwise,  $\mathcal{L}'$  has a point inside  $R$ . The lines  $A_2A_3$  (in case II, figure 4) and  $A_2C_1$  (in cases III and IV, figures 5 and 6) are both equal and parallel to  $OA_1$ , and at a distance  $\Delta/OA_1$ , from it. Therefore, by lemma 5,  $\mathcal{L}'$  has a point inside  $R$ , unless  $A_2$  belongs to  $\mathcal{L}'$ , in which case  $\mathcal{L}'$  is identical with  $\mathcal{L}_1$ . This gives a contradiction.

LEMMA 7. In case II (figure 4), none of the regions  $[A_1, H_1]$ ,  $[A_2, H_2]$ , ...,  $[A_6, H_6]$ ,  $[B_1, I_1]$ ,  $[B_2, I_2]$ , ...,  $[B_6, I_6]$  contains a point of  $\mathcal{L}'$ .

*Proof.* Call these regions 1, 3, ..., 11, 2, 4, ..., 12 respectively as in figure 4.

Suppose 1 has a point  $P$  of  $\mathcal{L}'$ . The area of the triangle  $OB_1D_1$  equals  $\frac{1}{2}\Delta$ . Both  $[A_1, B_1]$  and 1 lie inside this triangle. Therefore, by lemmas 4 and 6,  $[A_1, B_1]$  contains no point of  $\mathcal{L}'$ . As the area of the parallelogram  $OA_6C_6A_1$  equals  $\Delta$  and  $P$  does not coincide with  $A_1$ , it follows from lemma 3 that  $[C_6, A_6]$  contains no point of  $\mathcal{L}'$ .

Now join  $A_1B_1$  and  $A_4B_4$  and let them intersect  $A_5A_6$  in the points  $K_1, L_4$  and  $A_2A_3$  in  $L_1$  and  $K_4$  respectively (see figure 4;  $K_1L_1$  and  $K_4L_4$  are shown as broken lines.) Now  $K_1L_1K_4L_4$  is a parallelogram, symmetrical about  $O$ , and of area  $4\Delta$ , since the area of  $A_1K_1L_4A_4$  equals the area of  $A_1C_6A_5A_4$  and the area of  $A_1L_1K_4A_4$  equals the area of  $A_1A_2C_3A_4$  (each by the theorem of parallelograms between the same parallels). Therefore, by lemma 2,  $\mathcal{L}'$  has at least two points, other than  $O$ , in this parallelogram.

Now, by definition  $\mathcal{L}'$  has no point in  $R$ . It has also been proved that it has no points in  $[A_6, C_6]$  and  $[A_1, B_1]$  and so also in their images in  $O$ . Therefore  $\mathcal{L}'$  has a point in 3. Similarly, it can be proved that  $\mathcal{L}'$  has a point in 5 and then in each of 7, 9 and 11 in turn. Therefore, application of lemma 3 to different parallelograms as in the beginning of the proof of this lemma shows that  $\mathcal{L}'$  has no point in any of  $[A_1, C_1]$ ,  $[A_2, C_2]$ , ...,  $[A_6, C_6]$ .

Now consider the hexagon formed by the lines through the points  $A_i$ , perpendicular to the lines  $OA_i$  ( $i = 1, \dots, 6$ ). It was shown in §5 that the parts of this hexagon external to  $R$  lie entirely within the regions  $[A_1, C_1]$ , ...,  $[A_6, C_6]$  (see figure 4). As  $\mathcal{L}'$  has been shown to have no point, besides  $O$ , inside  $R$  or in any of  $[A_1, C_1]$ , ...,  $[A_6, C_6]$ , it follows that  $\mathcal{L}'$  has no point in this hexagon.

But this is in contradiction to lemma 2, since this hexagon is convex, is symmetrical about  $O$  and has area  $6OA_1^2 \tan 30^\circ = 8(a^2 + b^2 + ab)/\sqrt{3} = 4\Delta$ . Therefore the assumption is wrong, i.e.  $\mathcal{L}'$  has no point in 1. Similarly, it can be proved that  $\mathcal{L}'$  has no point in any of the regions 2, 3, ..., 12, so that the lemma is true.

In the statements 'the point  $P$  lies below, above, to the right or to the left of a line  $\Lambda$ ', the case when  $P$  lies on  $\Lambda$  will also be included.

In all the three cases II to IV define the points  $K_1, L_1, M_1$  and  $N_1$  to be the intersections, as shown in figure 3, of  $A_1B_1$  with the lines  $A_6C_6, C_1A_2, B_6D_1$  and  $D_2B_2$ , respectively. The points  $K_i, L_i, M_i, N_i$  ( $i = 2, 3, 4, 5, 6$ ) are defined by suitable rotations.

LEMMA 8. If  $\mathcal{L}'$  has a point in each of the regions  $[A_2, E_2, D_2]$ ,  $(B_2, E_2, K_3, M_3)$  and  $(A_3, E_3, N_2, L_2)$ , then  $\mathcal{L}'$  must have a point either in  $[A_3, E_3, B_3]$  or in  $[B_1, I_1]$ ; the latter case arises only in cases III and IV.



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$P_1$  lies above the line  $A_1A_2C_2$  and  $P_2$  below it. Therefore  $P_1 - P_2$  lies above  $OA_6$ , which is the line through  $O$  parallel to  $A_1A_2$ .

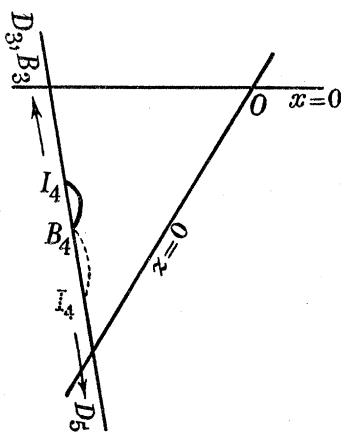


FIGURE 9

$P_1$  lies below  $D_2B_2$  and  $P_2$  above it. Therefore  $P_1 - P_2$  lies below  $OB_1$ , the line through  $O$  parallel to  $D_2B_2$ .

Let  $C_2\Gamma$  be the line through  $C_2$  parallel to  $A_2C_1$ . This line is above  $C_2D_2$ . Therefore both  $P_1$  and  $P_2$  lie between the parallel lines  $C_1A_2$  and  $C_2\Gamma$ . Also the lines  $A_5A_6$ ,  $OA_1$ ,  $A_2C_1$  and  $C_2\Gamma$  are parallel and the distances between consecutive lines are the same. Therefore  $P_1 - P_2$  lies above  $A_5A_6$ .

From the above  $P_1 - P_2$  lies in the quadrilateral formed by  $OA_6$ ,  $A_6E_6$ ,  $E_6B_1$  and  $B_1O$  (see figure 10).

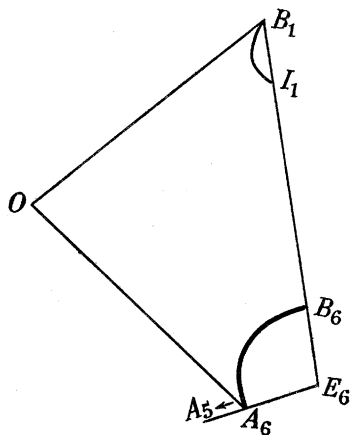


FIGURE 10

Therefore for  $R$  of type II  $P_1 - P_2$  lies in  $[A_6, E_6, B_6]$ . Hence  $P_2 - P_1$  lies in  $[A_3, E_3, B_3]$ , as asserted in this lemma. For  $R$  of type III or IV either  $P_1 - P_2$  lies in  $[B_1, I_1]$  or  $P_2 - P_1$  lies in  $[A_3, E_3, B_3]$ .

This completes the proof of the lemma.

LEMMA 9.  $\mathcal{L}'$  has a point in one at least of the regions  $[A_1, E_1, B_1]$ ,  $[A_2, E_2, B_2]$  and  $[A_3, E_3, B_3]$ .

*Proof.* (Either of figures 4 and 6 may be consulted.) Suppose  $\mathcal{L}'$  does not have a point in any of the three regions. By symmetry it does not have a point in their images in  $O$  either.



Consider the parallelogram  $M_1N_1M_4N_4$ , shown by dotted lines in figure 6. Its area is  $4\Delta$  and it is symmetric about  $O$ . Therefore it contains a point of  $\mathcal{L}'$  other than  $O$ .

Now,  $\mathcal{L}'$  has no point in

- (i)  $R$ , by definition of  $\mathcal{L}'$ ,
- (ii)  $[A_1, B_1]$ , since it lies in  $[A_1, E_1, B_1]$ ,
- (iii)  $[A_2, E_2, B_2]$ , by hypothesis,
- (iv)  $[B_3, I_3]$ , by lemma 7 in case II, and since it lies in  $[A_3, E_3, B_3]$  in cases III and IV, and
- (v) the images of  $[A_1, B_1]$ ,  $[A_2, E_2, B_2]$  and  $[B_3, I_3]$  in  $O$ .

Therefore,  $\mathcal{L}'$  has a point in one at least of  $(A_2, E_2, N_1, L_1)$  and  $(A_5, E_5, N_4, L_4)$ , and since they are images in  $O$ , in both.

Similarly, by considering parallelograms  $K_3L_6K_6L_6$  and  $M_2N_2M_5N_5$  it can be shown that  $\mathcal{L}'$  has a point in each of  $(B_2, E_2, K_3, M_3)$  and  $(A_3, E_3, N_2, L_2)$ .

Therefore it follows from lemma 8 that  $\mathcal{L}'$  has a point in  $[A_3, E_3, B_3]$  or, in the case of  $R$  of type III or IV only, in  $[B_1, I_1]$ , which then lies in  $[A_1, E_1, B_1]$ . That gives a contradiction and hence the lemma.

Without loss of generality, it can now be supposed that  $\mathcal{L}'$  has a point  $P'$  in  $[A_1, E_1, B_1]$ . Then

LEMMA 10.  $\mathcal{L}'$  has no point in  $[A_2, E_2, B_2]$  or  $[A_3, E_3, B_3]$ .

*Proof.* (Consult figures 4 or 6.) Suppose  $\mathcal{L}'$  has a point  $P_2$  in  $[A_2, E_2, B_2]$ . Consider  $P' + P_2$ .  $P'$  lies to the left of the line  $A_1C_1$  and  $P_2$  to the left of  $OA_2$ , which is parallel to  $A_1C_1$ . Therefore  $P' + P_2$  lies to the left of  $A_1C_1$ .

$P_2$  lies below  $B_2D_2$  and  $P'$  below the parallel line  $OB_1$ . Therefore  $P' + P_2$  lies below  $B_2D_2$ .

As both  $P'$  and  $P_2$  lie above  $OA_1$  and to the right of  $OB_2$ ,  $P' + P_2$  also lies above  $OA_1$  and to the right of  $OB_2$ .

Consequently, since  $P' + P_2$  does not lie in  $R$ , it lies either in  $[A_1, C_1]$  or in  $[B_2, D_2]$ .

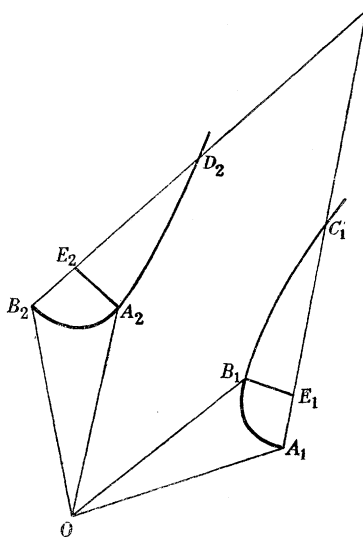


FIGURE 11

Now the area of the triangle  $OA_1C_1$  is equal to  $\frac{1}{2}\Delta$ .  $P'$  lies in  $OA_1C_1$  and is different from  $A_1$  and  $C_1$ . Also the point  $P' + P_2$  is not collinear with  $O$  and  $P'$ . Consequently by lemma 4,  $P' + P_2$  does not lie in  $[A_1, C_1]$ . Similarly,  $P' + P_2$  does not lie in  $[B_2, D_2]$ . Hence the contradiction. Therefore  $\mathcal{L}'$  has no point in  $[A_2, E_2, B_2]$ .

If  $\mathcal{L}'$  is supposed to have a point  $P_3$  in  $[A_3, E_3, B_3]$ , a contradiction can be obtained by considering the point  $P_3 - P'$  in a similar way.

Therefore the lemma is true, i.e.  $\mathcal{L}'$  has no point in  $[A_2, E_2, B_2]$  or  $[A_3, E_3, B_3]$ .

### 10.1. End of the proof for $R$ of type II

Let  $R$  be of type II. (Consult figure 4.)

By lemma 10 the lattice  $\mathcal{L}'$  has no point in  $[A_2, E_2, B_2]$  or  $[A_3, E_3, B_3]$ , and hence, by taking images in  $O$ , none also in  $[A_5, E_5, B_5]$  or  $[A_6, E_6, B_6]$ . Also by lemma 7, it has no point in 2 or 8.

Now consider the parallelogram  $D_2B_3D_5B_6$ , shown with dotted lines in the figure. It satisfies all the conditions of lemma 2 and hence contains two points  $\pm P$  of  $\mathcal{L}'$ . As  $\mathcal{L}'$  has no point in  $R$ ,  $[A_2, E_2, B_2]$ ,  $[A_5, E_5, B_5]$ , 2 or 8, one of the points  $\pm P$ , say  $P$ , lies in  $[A_2, E_2, D_2]$ .

Similarly, by considering the parallelograms  $M_2N_2M_5N_5$  and  $K_3L_3K_6L_6$ , it is seen that a point of  $\mathcal{L}'$  lies in each of  $(A_3, E_3, N_2, L_2)$  and  $(B_2, E_2, K_3, M_3)$ .

Hence lemma 8 applies, so that  $\mathcal{L}'$  has a point in  $[A_3, E_3, B_3]$ . But this contradicts lemma 10. Therefore the assumption at the beginning of §10 was wrong, i.e. there is no lattice, other than  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , of determinant  $\Delta$ , which does not have a point inside  $R$ .

This completes the proof of theorem 2 when  $R$  is of type II.

### 10.2. Proofs of theorems 2 and 3 (continued)

Hereafter  $R$  will belong only to types III or IV.

LEMMA 11.  $\mathcal{L}'$  has a point in  $[B_1, F_1, A_1]$ .

*Proof.* (See figure 6.) Suppose  $\mathcal{L}'$  has no point in  $[B_1, F_1, A_1]$ . By symmetry  $\mathcal{L}'$  has no point in  $[B_4, F_4, A_4]$ . Applying lemma 2 to the parallelogram  $D_2B_3D_5B_6$ , one finds that  $\mathcal{L}'$  has two points  $\pm P$ , other than  $O$ , in this parallelogram.

Now  $\mathcal{L}'$  has no point in

- (i)  $[B_1, I_1]$ , since it lies in  $[B_1, F_1, A_1]$ ,
- (ii)  $[A_2, E_2, B_2]$ , by lemma 10,
- (iii)  $[B_3, I_3]$ , since it lies in  $[B_3, E_3, A_3]$ ; and so also in
- (iv)  $[B_4, I_4]$ ,  $[A_5, E_5, B_5]$  and  $[B_6, I_6]$ .

Therefore, one of these points  $\pm P$  lies in  $[A_2, E_2, D_2]$ .

Similarly, by considering the parallelograms  $M_2N_2M_5N_5$  and  $K_3L_3K_6L_6$  it can be shown that  $\mathcal{L}'$  has points in each of  $(A_3, E_3, N_2, L_2)$  and  $(B_2, E_2, K_3, M_3)$ .

Therefore by lemma 8,  $\mathcal{L}'$  has a point in  $[B_1, I_1]$  which is contained in  $[B_1, F_1, A_1]$ . But this is a contradiction so that the lemma is true, i.e.  $\mathcal{L}'$  has a point in  $[B_1, F_1, A_1]$ .

### 10.3. Two lemmas

Let the point of  $\mathcal{L}'$  in  $[B_1, F_1, A_1]$  be denoted by  $P$ . By taking, if necessary, the lattice point on  $OP$  nearest to  $P$ ,  $P$  can be supposed to be primitive.

LEMMA 12. Any line parallel to  $OP$  has a length greater than  $2OP$  intercepted between (i)  $A_1C_1$  and  $C_2A_3$ , (ii)  $B_2D_3$  and  $B_4D_4$  (see figure 6).

*Proof.* (i)  $P$  lies between  $OA_2$  and  $A_1C_1$ . Also  $A_1C_1$  and  $C_2A_3$  are lines parallel to  $OA_2$  and at equal distances on opposite sides.

- (ii) Similarly.

LEMMA 13. (a) (i) If the line  $\Lambda$ , parallel to  $OP$  and at a distance  $\Delta/OP$  above it, has a length less than  $OP$  intercepted by  $\mathcal{C}_2$  of  $\mathcal{C}_3$ , there is a contradiction to the hypothesis that  $\mathcal{L}'$  has no point inside  $R$ .

(ii) If the line  $\Lambda'$ , parallel to  $OP$  and at a distance  $\Delta/OP$  below it, has a length less than  $OP$  intercepted by  $\mathcal{C}_4$  or  $\mathcal{C}_5$ , then there is a contradiction to the hypothesis about  $\mathcal{L}'$ .

(b) If in the above one of the two intercepts equals  $OP$  and the other is greater than or equal to  $OP$ , then  $\mathcal{L}'$  may be admissible.

*Proof.* (a) (i) Suppose, for example, that  $\Lambda$  has a length less than  $OP$  intercepted by  $\mathcal{C}_2$ .

By lemma 12, the length of  $\Lambda$ , intercepted between the lines  $A_1C_1$  and  $C_2A_3$  is greater than  $2OP$ . Also  $\Lambda$  is at a distance  $\Delta/OP$  from  $OP$ . Therefore, by lemma 5, at least two points of  $\mathcal{L}'$  lie on the segment  $\Lambda_1$  of  $\Lambda$  intercepted between  $A_1C_1$  and  $C_2A_3$ .

The length of the segment of  $\Lambda$ , intercepted by  $\mathcal{C}_2$ , is less than  $OP$ . Therefore at most one point of  $\mathcal{L}'$  lies on this part of  $\Lambda$ .

No point of  $\mathcal{L}'$  lies in the part of  $\Lambda_1$  intercepted between  $\mathcal{C}_1$  and  $A_1C_1$ , because  $[A_1, C_1]$  does not contain any point of  $\mathcal{L}'$ , other than  $P$ . Nor is there any point of  $\mathcal{L}'$  in the part, if any, of  $\Lambda_1$  between  $\mathcal{C}_3$  and  $C_2A_3$ , for  $\mathcal{L}'$  has no point in  $[A_3, E_3, B_3]$ .

Consequently at least one point of  $\mathcal{L}'$  lies in the part of  $\Lambda_1$  lying inside  $R$ . This gives the required contradiction.

(ii) Similarly.

(b) If  $\mathcal{L}'$  has no point in the part of  $\Lambda_1$  lying inside  $R$ , two points of  $\mathcal{L}'$  must lie on the part of  $\Lambda_1$  intercepted by  $\mathcal{C}_2$  or  $\mathcal{C}_3$ . This is possible if the conditions of the lemma are satisfied and the end-points of the intercept equal to  $OP$  are points of  $\mathcal{L}'$ . In this case  $\mathcal{L}'$  may be admissible.

#### 10.4. End of the proof of theorem 2

Theorem 2 for  $R$  of type II has already been proved. Therefore in this section only  $R$  of type III will be considered.

In lemma 11 it was seen that for such  $R$   $\mathcal{L}'$  has a point  $P$  in  $[A_1, F_1, B_1]$ . In this case,  $[A_1, F_1, B_1]$  consists of two parts, namely,  $[A_1, H_1]$  and  $[B_1, I_1]$  (see figure 5).

In lemma 14 below it will be shown that  $P$  cannot lie in  $[A_1, H_1]$  or in  $[B_1, I_1]$ . This gives a contradiction, so that for  $R$  of type III, too, all lattices  $\mathcal{L}'$ , of determinant  $\Delta$  and different from  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , have a point other than  $O$  inside  $R$ , i.e. theorem 2 is true.

LEMMA 14.  $P$  cannot lie (i) in  $[A_1, H_1]$ , (ii) in  $[B_1, I_1]$ .

*Proof* (see figure 5). (i)  $P$  lies in  $[A_1, H_1]$ . Join  $OP$  and let it intersect  $D_2B_1$  at  $Q$ . From  $D_2B_1$  cut off  $D_2Q'$  equal to  $B_1Q$ . Join  $B_2Q'$ .

As  $OB_1$  is equal and parallel to  $B_2D_2$ , the triangle  $OB_1Q$  is congruent to  $B_2D_2Q'$ . Therefore  $B_2Q'$  is equal and parallel to  $OQ$ . Hence, the area of the parallelogram  $OB_2Q'Q = \text{area of } OB_2D_2B_1 = \Delta$ . Therefore the distance of  $B_2Q'$  from  $OP$  equals  $\Delta/OQ$ , which is greater than or equal to  $\Delta/OP$ .

Now  $B_2Q'$  lies in the triangle  $B_2M_2D_2$ , for  $OQ$  lies in the triangle formed by  $OB_1$ ,  $B_1I_1$  and the  $x$ -axis. Also as the line  $B_1D_2$ , being parallel to  $OB_2$ , intersects  $\mathcal{C}_2$  only at  $D_2$ ,  $Q'$  lies inside  $R$ . Consequently  $B_2Q'$  meets  $\mathcal{C}_2$  in a point lying between  $B_2$  and  $Q'$ . Therefore, the length of  $B_2Q'$  intercepted by  $\mathcal{C}_2$  is less than  $B_2Q' = OQ \leq OP$ .

As the line  $\Lambda$  is nearer to  $O$  than  $B_2Q'$ , the length of its intercept on  $\mathcal{C}_2$  is less than  $OP$ , since, as a line moves towards  $O$  parallel to itself, its length intercepted by  $\mathcal{C}_2$  does not increase.

Hence, by lemma 13,  $\mathcal{L}'$  has a point inside  $R$ . This is a contradiction. Therefore  $P$  does not lie in  $[A_1, H_1]$ .

(ii) The proof follows as in (i).

#### 10.5. End of the proof of theorem 3

Henceforth  $R$  belongs to type IV and reference is made only to figure 6.

LEMMA 15.  $P$  cannot lie (i) in  $[A_1, F_1, I_1]$ , (ii) in  $[B_1, F_1, H_1]$ .

*Proof.* (i) Let  $P$  lie in  $[A_1, F_1, I_1]$ . Join  $OP$  and let it intersect  $B_1I_1$  in  $Q$ . Then the proof follows by repeating the argument of lemma 15, word by word.

(ii) Similarly.

*End of proof.*  $P$  lies in  $[A_1, F_1, B_1]$  but not in  $[A_1, F_1, I_1]$  or in  $[B_1, F_1, H_1]$ . Therefore  $P$  lies in  $[H_1, F_1, I_1]$ .

Let  $OP$  intersect  $\mathcal{C}_1$  at  $Q_1$ . Then  $OQ_1 \leq OP$  and  $\Delta/OQ_1 \geq \Delta/OP$ . Therefore, if it can be proved that the line parallel to  $OQ_1$ , at a distance  $\Delta/OQ_1$  from it, has a length less than or equal to  $OQ_1$  intercepted by  $\mathcal{C}_2$ , it will follow that  $\Lambda$ , the line parallel to  $OP$  at a distance  $\Delta/OP$ , has a length less than or equal to  $OP$  intercepted by  $\mathcal{C}_2$ . Then lemma 13 will lead to a contradiction to the definition of  $\mathcal{L}'$ .

Similar results hold for  $\mathcal{C}_3, \mathcal{C}_5$  and  $\mathcal{C}_6$ .

This can be summed up as follows:

*Let  $P$  be any point on  $\mathcal{C}_1$  between  $H_1$  and  $I_1$ . If the line parallel to  $OP$  and at a distance  $\Delta/OP$  above (or below)  $OP$  has a length less than  $OP$  intercepted by one at least of  $\mathcal{C}_2$  and  $\mathcal{C}_3$  (or  $\mathcal{C}_5$  and  $\mathcal{C}_6$ ), then no lattice, different from  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , and of determinant  $\Delta$ , is admissible for  $R$ .*

*$\mathcal{L}_1$  and  $\mathcal{L}_2$  have points on the boundary of  $R$  and may be admissible.*

*If for some  $P$ , the least of the above intercepts is  $OP$ , then the lattice  $\mathcal{L}'$ , generated by  $P$  and an end-point of this intercept, is admissible if  $\mathcal{L}'$  has no point other than  $O$ , inside  $R$ .*

In lemma 16 it will be proved that there exist lines  $P_1P_2$  and  $P_3P_4$  equal and parallel to  $OP$  such that  $P_1$  and  $P_2$  lie on  $\mathcal{C}_2$  while  $P_3$  and  $P_4$  lie on  $\mathcal{C}_3$ . Then the equivalence of the above with theorem 3 follows from the fact that, if a line moves towards  $O$  parallel to itself, its intercept on  $\mathcal{C}_2$  or  $\mathcal{C}_3$  does not increase.

#### 10.6. The existence of $P_1P_2$ and $P_3P_4$

LEMMA 16. For all points  $P$  on  $\mathcal{C}_1$  between  $H_1$  and  $I_1$  there exists

(i) a line  $P_1P_2$ , equal and parallel to  $OP$  with  $P_1$  and  $P_2$  on  $\mathcal{C}_2$ , and

(ii) a line  $P_3P_4$  equal and parallel to  $OP$  with  $P_3$  and  $P_4$  on  $\mathcal{C}_3$ .

*Proof.* (i) As a line  $\Lambda$  moves towards  $O$  parallel to itself, its intercept by  $\mathcal{C}_2$ , if finite at any stage, decreases continuously to zero, except when  $\mathcal{C}_2$  contains a line  $\Lambda'$  parallel to  $\Lambda$ . Then the intercept decreases continuously to the length of  $\Lambda'$  when  $\Lambda$  coincides with  $\Lambda'$ ; it becomes zero after that.

If  $\mathcal{C}_2$  contains a line  $\Lambda'$ , parallel to  $OP$  and of length not less than  $OP$ ,  $P_1P_2$  can be taken to be any segment of length  $OP$  on  $\Lambda'$ , i.e. the lemma holds. Therefore, there remains here only the case where  $\Lambda' < OP$ .

It will suffice to prove the lemma for all points  $P$  on the line  $B_1I_1$ . For, if  $P$  does not lie on  $B_1I_1$ ,  $OP$  can be produced to meet  $B_1I_1$  at  $Q$ . Then  $Q_1Q_2$  can be found equal and parallel to  $OQ$  with  $Q_1$  and  $Q_2$  on  $\mathcal{C}_2$ . Then moving  $Q_1Q_2$  parallel to itself towards  $O$  continuously one

arrives at a position where its intercept  $P_1P_2$  on  $\mathcal{C}_2$  equals  $OP$ . In the rest of the proof of the lemma  $P$  will therefore be supposed to lie on  $B_1I_1$ .

Produce  $OB_2$  to  $B$ . From  $B_2B$  cut off  $B_2P'' = B_1P$ .  $P''$  lies outside  $R$  and below  $C_2D_2$ , since, for the  $x$ -co-ordinates  $x(P)$ , etc., it is clear that

$$x(P'') - x(B_2) = x(B_1) - x(P) \leq x(B_1) = x(D_2) - x(B_2).$$

Join  $D_2P''$ . As  $D_2B_2$  is equal and parallel to  $OB_1$ , the triangles  $OB_1P$  and  $D_2B_2P''$  are congruent. Therefore  $D_2P''$  is equal and parallel to  $OP$ . Also  $D_2P''$  lies entirely inside  $[C_2, D_2]$ .

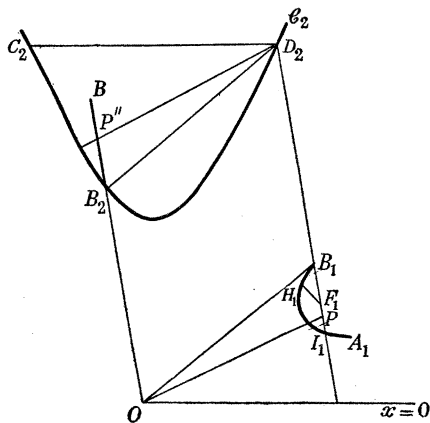


FIGURE 12

Therefore producing  $D_2P''$  to intersect  $\mathcal{C}_2$  one gets a line parallel to  $OP$  with a length greater than or equal to  $OP$  intercepted by  $\mathcal{C}_2$ . This line can be moved continuously parallel to itself towards  $O$ , till its intercept  $P_1P_2$  on  $\mathcal{C}_2$  equals  $OP$ . This completes the proof of (i).

(ii) Similarly.

With the proof of this lemma, the proofs of theorems 2 and 3 are complete.

## 11. SOME REMARKS ON THE ADMISSIBILITY OF $\mathcal{L}_1$ AND $\mathcal{L}_2$

In this section  $R$  may belong to any of the types II to IV.

By the symmetry of  $R$  it is obvious that either both or none of  $\mathcal{L}_1$  and  $\mathcal{L}_2$  is admissible. Therefore, attention will be confined to  $\mathcal{L}_1$  only.

In vector notation any point of  $\mathcal{L}_1$  is of the form  $\xi A_1 + \eta A_2$ , where  $\xi$  and  $\eta$  are integers. Therefore, from table 1, it is clear that the co-ordinates of a point of  $\mathcal{L}_1$  are given by

$$x = a\xi + (a+b)\eta, \quad y = -(a+b)\xi - b\eta, \quad z = b\xi - a\eta. \quad (11.1)$$

Suppose  $a/b$  is rational. Then  $\mathcal{L}_1$  has an infinity of points on the line  $x = 0$ . Therefore, if  $R$  is unbounded,  $\mathcal{L}_1$  cannot be  $R$ -admissible. In this case the problem of finding the critical determinant of  $R$  remains unsolved, unless, of course,  $R$  belongs to type IV and theorem 3 gives a critical lattice different from  $\mathcal{L}_1$  and  $\mathcal{L}_2$ . If  $R$  is bounded  $\mathcal{L}_1$  may still be admissible. Both now and also when  $a/b$  is irrational lemma 17 gives a criterion for the admissibility of  $\mathcal{L}_1$  for bounded  $R$ .

LEMMA 17. *Let  $D$  be an integer such that*

$$f[0, -\sqrt{\{(a^2 + ab + b^2)(D+1)\}}, \sqrt{\{(a^2 + ab + b^2)(D+1)\}}] \geq f(c, -2c, c). \quad (11.2)$$

Then  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible if, and only if, the co-ordinates of the finite number of lattice points (11·1) with

$$0 < \xi^2 + \xi\eta + \eta^2 \leq D, \quad (11\cdot3)$$

satisfy the relation

$$f(x, y, z) \geq f(c, -2c, c). \quad (11\cdot4)$$

*Proof.*  $V_1$ , the point with co-ordinates  $[0, -\sqrt{\frac{1}{3}(a^2 + ab + b^2)(D+1)}, \sqrt{\frac{1}{3}(a^2 + ab + b^2)(D+1)}]$ , is at a distance  $\{\frac{4}{3}(a^2 + ab + b^2)(D+1)\}^{\frac{1}{2}}$  from  $O$ . By the symmetry and convexity conditions  $R$  lies within the hexagon  $V_1V_2V_3V_4V_5V_6$  and so also in its circumcircle:

$$x^2 + \frac{1}{3}(z-y)^2 = \frac{4}{3}(a^2 + b^2 + ab)(D+1).$$

The points of  $\mathcal{L}_1$  within this circle satisfy the relation

$$\xi^2 + \xi\eta + \eta^2 \leq D,$$

and the lemma follows from (11·3) and (11·4).

If  $R$  is unbounded and  $a/b$  is irrational, it may not be easy to decide whether  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible or not. Here, to prove that all the points of  $\mathcal{L}_1$  lie outside or on the boundary of  $R$ , it must be shown that if  $f(x, y, z) = f\{a\xi + (a+b)\eta, -(a+b)\xi - b\eta, -b\xi - a\} = \phi(\xi, \eta)$ , then  $\phi(\xi, \eta) \geq f(c, -2c, c)$  for all integers  $\xi, \eta$ , not both zero. This may be an extremely difficult problem. However, if  $\phi(\xi, \eta)$  is a polynomial with rational coefficients, elementary considerations, like those of congruences or irrationality of roots of an equation, may give an easy solution of the problem.

## PART II. APPLICATIONS

### 12. PRELIMINARY REMARKS

In order to apply the results of part I to any particular region  $R$  one has first to verify that  $R$  satisfies conditions (2·1) to (2·8). One has then to examine whether the relation (4·1) is satisfied or not. If it is not, then theorem 1 applies, and gives both the critical determinant and the only critical lattice of  $R$ . If (4·1) is satisfied, one determines the number  $a, b$  and  $\Delta$ . In practice it may suffice only to write down the equations giving these quantities. One has next to investigate if  $R$  belongs to types II and III dealt with in theorem 2 or to type IV to which theorem 3 applies.

As has already been seen in § 8,  $R$  belongs to types II or III if the point

$$F_2\left(\frac{2(a^2 + ab + b^2)}{(2b + a)}, -\frac{(a^2 + ab + b^2)}{(2b + a)}, -\frac{(a^2 + ab + b^2)}{(2b + a)}\right)$$

lies below (or on) the point  $T_2(2c, -c, -c)$ , i.e. if

$$a^2 + b^2 + ab \leq c(2b + a). \quad (12\cdot1)$$

Similarly,  $R$  belongs to type IV if

$$a^2 + b^2 + ab > c(2b + a). \quad (12\cdot2)$$

In determining the type to which  $R$  belongs it is often convenient to use the following:

$R$  belongs to type II if, at the point  $A_1$ , the function  $f(x, y, z)$  decreases as  $(x, y, z)$  moves along  $A_1A_2$  in the direction of increasing  $x$ . For this implies that the points in the neighbourhood of  $A_1$  and lying between  $A_1$  and  $A_2$  are inner points of  $R$ .

Now if  $R$  belongs to the first set, i.e. to types II or III, theorem 2 applies and gives  $\Delta(R)$  if  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible.

If  $R$  belongs to type IV, theorem 3 applies. To obtain any result from this theorem one has first to show that for all points  $P$  on the boundary  $\mathcal{C}$  between  $I_1$  and  $T_1(c, -2c, c)$  the line  $\Lambda$ , parallel to and at a distance  $\Delta/OP$  from  $OP$  has a length less than or equal to  $OP$  intercepted by  $\mathcal{C}_2$  or  $\mathcal{C}_3$ . In practice it is convenient to take  $P$  between  $A_1$  and  $T_1$ . It may often be more convenient to prove the equivalent area condition, viz. the area of one at least of the parallelograms  $OPP_1P_2$  and  $OPP_3P_4$  is not less than  $\Delta$ .  $P_1P_2$  and  $P_3P_4$  were defined in lemma 16 as also in theorem 3. This condition can be expressed analytically as follows:

If  $(x_1, y_1, z_1)$  is a point between  $A_1$  and  $T_1$ , i.e. if

$$f(x_1, y_1, z_1) = f(c, -2c, c), \quad (12\cdot3)$$

where

$$a \leq x_1 \leq c, \quad c \leq z_1 \leq b, \quad (12\cdot4)$$

and if

$$f(p \pm \frac{1}{2}x_1, q \pm \frac{1}{2}y_1, r \pm \frac{1}{2}z_1) = f(c, -2c, c), \quad (12\cdot5)$$

and both the points  $(p \pm \frac{1}{2}x_1, q \pm \frac{1}{2}y_1, r \pm \frac{1}{2}z_1)$  lie either in the second or in the third sector then,

$$|p(z_1 - y_1) - x_1(r - q)| \geq \Delta\sqrt{3}. \quad (12\cdot6)$$

If the equality sign in (12·6) is not needed for any point  $P$  different from  $A_1$ , then theorem 3 gives the value of  $\Delta(R)$  provided that  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible. In this case  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are the only critical lattices. If the equality sign in (12·6) is necessary for some  $P$  different from  $A_1$  and if the corresponding lattice  $\mathcal{L}$  is admissible, then  $\Delta(R)$  is equal to  $\Delta$  even if  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are not admissible. Obvious transformations applied to  $\mathcal{L}$  give six critical lattices, except when  $P$  coincides with  $T_1$ , when there may be only three critical lattices related to  $\mathcal{L}$ .

If in (12·6) the sign of equality is needed for  $P$  coinciding with  $T_1$ , then, because of the symmetry of  $R$ , the areas of both  $OPP_1P_2$  and  $OPP_3P_4$  will be equal to  $\Delta$  and the points  $P_1, P_2, P_3$  and  $P_4$  will lie on the same straight line, say in the order given here. In this case it can sometimes be proved that the lattice  $\mathcal{L}''$  generated by  $P$  and  $P_1$  is not critical by the following

LEMMA 18.  $\mathcal{L}''$  cannot be critical unless  $P_1P_2 = P_2P_3 = P_3P_4 = OP$ .

*Proof.* From lemma 13 (b) it is easy to see that if  $\mathcal{L}''$  is critical, then  $P_1, P_2, P_3$  and  $P_4$  all belong to  $\mathcal{L}''$  and the line segment  $P_1P_4$  contains no other point of  $\mathcal{L}''$ .

One way of proving that the above conditions are not satisfied is to show that the point  $P_2 - P$  does not lie on  $\mathcal{C}$ , the boundary of  $R$ . This gives the

COROLLARY. If  $P_2 - P$  does not lie on  $\mathcal{C}$ ,  $\mathcal{L}''$  cannot be critical.

Sometimes it may be more convenient to work in the second sector instead of the first.

### 13. THE REGION $|r^3 \sin 3\theta| \leq 8c^3$

In the co-ordinate system defined in §2 the region  $R$  can be defined by the relation

$$f(x, y, z) = |xyz| \leq 2c^3.$$

THEOREM 4. Let  $R$  be the region defined by

$$f(x, y, z) = |xyz| \leq 2c^3, \quad x + y + z = 0. \quad (13\cdot1)$$

Define  $a$ ,  $b$  and  $\Delta$  by the relations

$$2c^3 = ab(a+b) = (b-a)(a+2b)(2a+b) \quad (13.2)$$

and 
$$\Delta = \frac{2}{\sqrt{3}}(a^2 + b^2 + ab). \quad (13.3)$$

Then  $\Delta(R) = \Delta$ , and the only critical lattices are  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , where

(i)  $\mathcal{L}_1$  is generated by the points  $(a, -a-b, b)$  and  $(a+b, -b, -a)$ , and

(ii)  $\mathcal{L}_2$  is generated by  $(b, -a-b, a)$  and  $(a+b, -a, -b)$ .

*Proof.* It can easily be verified that  $R$  satisfies the conditions (2.1) to (2.8) and (4.1).

The equations (4.2), which define  $a$  and  $b$  in part I, are equivalent to (13.2) and give

$$b^3 + b^2a - 2a^2b - a^3 = 0. \quad (13.4)$$

It will now be shown that  $R$  belongs to type II. Write for  $x \geq 0, z \geq 0$ ,

$$f(x, y, z) = xz(x+z).$$

The equation of  $A_1A_2$  is

$$a^2 + b^2 + ab = ax - by = (a+b)x + bz \quad (\text{see } \S 5).$$

Therefore, along this line,

$$\begin{aligned} \frac{df}{dx} &= (2xz + z^2) + (x^2 + 2xz) \frac{dz}{dx} \\ &= \frac{1}{b} \{b(2xz + z^2) - (a+b)(x^2 + 2xz)\}. \end{aligned}$$

At the point  $A_1(a, -a-b, b)$  the above gives

$$\begin{aligned} \frac{df}{dx} &= \frac{1}{b} \{b(b^2 + 2ab) - (a+b)(a^2 + 2ab)\} \\ &= \frac{1}{b} \{b^3 - a^3 - 3a^2b\} \\ &= -a(a+b) \quad (\text{by (13.4)}) \\ &< 0. \end{aligned}$$

Therefore  $R$  belongs to type II.

Consequently theorem 2 applies and theorem 4 follows if it can be shown that  $\mathcal{L}_1$  is admissible.

Now any point of  $\mathcal{L}_1$  has co-ordinates

$$X = a\xi + (a+b)\eta, \quad Y = -(a+b)\xi - b\eta, \quad Z = b\xi - a\eta,$$

with integers  $\xi, \eta$ . Thus

$$\begin{aligned} f(X, Y, Z) &= |\{a\xi + (a+b)\eta\} \{(a+b)\xi + b\eta\} \{b\xi - a\eta\}| \\ &= |ab(a+b)(\xi^3 - \eta^3) + (b^3 - a^3 + 3ab^2)\xi^2\eta + (b^3 - a^3 - 3a^2b)\xi\eta^2| \\ &= ab(a+b) |\xi^3 - \eta^3 + 2\xi^2\eta - \xi\eta^2| \quad (\text{by (13.4)}). \end{aligned}$$

As the equation

$$\xi^3 + 2\xi^2\eta - \xi\eta^2 - \eta^3 = 0$$

gives no rational value for  $\xi/\eta$ ,

$$|\xi^2 + 2\xi\eta - \eta^2| \geq 1$$



for all integers  $\xi, \eta$ , not both zero. Therefore for all points of  $\mathcal{L}_1$ , other than 0,

$$f(X, Y, Z) \geq ab(a+b),$$

i.e.  $\mathcal{L}_1$  is admissible and the theorem follows.

Theorem 4 is easily seen to be equivalent to the following theorem of Mordell (1943 *a, b*):

**THEOREM.** *If  $f(x, y) = ax^3 + bx^2y + cxy^2 + dy^3$ , and if*

$$D = 18abcd - 27a^2d^2 + b^2c^2 - 4ac^3 - 4db^3 > 0,$$

*then integer values of  $x, y$ , not both zero, exist such that*

$$|f(x, y)| \leq \sqrt[4]{\frac{D}{49}}. \quad (13.5)$$

*The equality in (13.5) is necessary if and only if*

$$e^{-1}f(x, y) \sim x^3 + x^2y - 2xy^2 - y^3,$$

*where  $e$  is any constant.*

Other proofs of this theorem have been given by Davenport (1945) and Delaunay (1945).

#### 14. CIRCULAR HEXAGONS

**THEOREM 5.** *Let  $R$  be a region with hexagonal symmetry bounded by circular arcs. Let the boundary arc in the first sector meet the lines  $x = 0, z = 0$  at an angle  $\sec^{-1}\lambda$ , where  $1 \leq \lambda \leq 2$ , and let the circle, of which this arc is a part, have its centre at the point  $(g, -2g, g)$ . Then  $\Delta(R) = 2c^2\sqrt{3}$ , where  $c = \frac{1}{2}(2-\lambda)g$ , and there is only one critical lattice, namely, that generated by the middle points of the boundary arcs.*

*Proof.* The first sector boundary of  $R$  is easily seen to have the equation

$$(x-g)^2 + \frac{1}{3}\{(z-g) - (y+2g)\}^2 = \lambda^2g^2, \quad x+y+z=0.$$

Eliminating  $y$  and solving for  $g$ ,

$$3(4-\lambda^2)g = 6(x+z) \pm 2\{9(x+z)^2 - (12-3\lambda^2)(x^2+xz+z^2)\}^{\frac{1}{2}}.$$

On considering the intersection of the above with the line  $x = 0$  one finds that the positive value of the radical is to be taken. Therefore, in the sector  $x \geq 0, z \geq 0$  the equation of  $\mathcal{C}$ , the boundary of  $R$  is given by

$$f(x, y, z) = 6(x+z) + 2\{9(x+z)^2 - (12-3\lambda^2)(x^2+xz+z^2)\}^{\frac{1}{2}} = 3(4-\lambda^2)g. \quad (14.1)$$

The middle point of this arc is  $(c, -2c, c)$ , where

$$3(4-\lambda^2)g = 12c + 6c\lambda,$$

or

$$c = \frac{1}{2}(2-\lambda)g.$$

$$\text{Now} \quad f(0, -3c, 3c) = 6c\{3 + (3\lambda^2 - 3)^{\frac{1}{2}}\} = 3(2-\lambda)\{3 + (3\lambda^2 - 3)^{\frac{1}{2}}\}g,$$

and

$$f(c, -2c, c) = 3(4-\lambda^2)g.$$

Therefore

$$f(0, -3c, 3c) \geq f(c, -2c, c),$$

since

$$3 + (3\lambda^2 - 3)^{\frac{1}{2}} \geq 2 + \lambda,$$

because

$$3\lambda^2 - 3 - (\lambda - 1)^2 = 2(\lambda + 2)(\lambda - 1) \geq 0.$$

Consequently the region belongs to type I and the theorem follows on application of theorem 1.

## 15. PARABOLIC HEXAGONS

**THEOREM 6.** *Let  $R$  be a region with hexagonal symmetry bounded by parabolic arcs. Let the mid-point of the arc in the first sector be  $(c, -2c, c)$ , and suppose the latus rectum of the parabola, of which this arc forms a part, is  $\lambda c$ , where  $\lambda \geq \frac{8}{3}$ . Then*

(a) *if  $\lambda \geq 3$ ,  $\Delta(R) = \Delta$ , and the lattice generated by the mid-points of the boundary arcs is the only critical lattice;*

(b) *if  $\frac{8}{3} \leq \lambda < 3$ , define  $a, b$  and  $\Delta$  by*

$$\begin{aligned} 12\lambda c &= 3\lambda(a+b) + \{9\lambda^2(a+b)^2 - 24\lambda(b-a)^2\}^{\frac{1}{2}} \\ &= 3\lambda(a+2b) + \{9\lambda^2(a+2b)^2 - 216\lambda a^2\}^{\frac{1}{2}}, \end{aligned} \quad (15.1)$$

and 
$$\Delta = \frac{2}{\sqrt{3}}(a^2 + ab + b^2), \quad (15.2)$$

then  $\Delta(R) = \Delta$  and there are just two critical lattices.

*Proof.* The equation of the boundary of  $R$  in the first sector is easily seen to be

$$(z-x)^2 = -3\lambda c(y+2c),$$

i.e. 
$$6\lambda c^2 - 3\lambda c(x+z) + (z-x)^2 = 0.$$

Solving for  $c$ , one gets

$$12\lambda c = 3\lambda(x+z) \pm \{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{\frac{1}{2}}.$$

On considering the intersection of this arc with the line  $x = 0$ , one finds that the positive value of the radical is to be taken, so that the equation of the boundary of  $R$  in the first sector can be expressed as

$$f(x, y, z) = 3\lambda(x+z) + \{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{\frac{1}{2}} = 12\lambda c. \quad (15.3)$$

Now the two parts of the theorem will be proved one by one.

(a) Let  $\lambda \geq 3$ . Then 
$$f(0, -3c, 3c) \geq f(c, -2c, c),$$

i.e. 
$$9\lambda c + 3c(9\lambda^2 - 24\lambda)^{\frac{1}{2}} \geq 12\lambda c$$

or 
$$9\lambda^2 - 24\lambda \geq \lambda^2,$$

since 
$$\lambda \geq 3.$$

Therefore the hexagon belongs to type I and the result follows from theorem 1.

(b) Let  $\frac{8}{3} \leq \lambda < 3$ . Then the condition (4.1) is easily seen to be satisfied. The equations (4.2) which define  $a$  and  $b$  are clearly equivalent to (15.1).

It will now be shown that the region belongs to type II. For this it will suffice to prove that along  $A_1A_2$ ,  $f(x, y, z)$  is a decreasing function of  $x$  (see preliminary remarks). The equation of  $A_1A_2$  is

$$a^2 + ab + b^2 = ax - by = (a+b)x + bz.$$

Therefore, along  $A_1A_2$ ,

$$\begin{aligned} \frac{df}{dx} &= 3\lambda \left(1 + \frac{dz}{dx}\right) + \frac{1}{2} \{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{-\frac{1}{2}} \left\{18\lambda^2(x+z) \left(1 + \frac{dz}{dx}\right) - 48\lambda(z-x) \left(\frac{dz}{dx} - 1\right)\right\} \\ &= \frac{1}{b} [9\lambda^2(x+z)^2 - 24\lambda(z-x)^2]^{-\frac{1}{2}} [24\lambda(z-x)(a+2b) - 9\lambda^2(x+z) \\ &\quad \times a - 3a\lambda\{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{\frac{1}{2}}]. \end{aligned} \quad (15.4)$$

As both  $A_1$  and  $D_1(b-a, -a-2b, 2a+b)$  lie on the first sector boundary, the value of  $3\lambda(x+z) + \{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{\frac{1}{2}}$  at both  $A_1$  and  $D_1$  is the same, so that at the point  $A_1$

$$3\lambda(x+z) + \{9\lambda^2(x+z)^2 - 24\lambda(z-x)^2\}^{\frac{1}{2}} > 3\lambda(a+2b).$$

Therefore, at  $A_1$  along  $A_1A_2$ ,

$$\frac{df}{dx} < K[8(b-a)(a+2b) - 3a\lambda(a+2b)], \quad (15.5)$$

where  $K$  is a positive constant. As  $\lambda \geq \frac{8}{3}$  and  $b \leq 2a$ , it follows from (15.5) that at  $A_1$ , along  $A_1A_2$ ,  $\frac{df}{dx} < 0$ , so that the region belongs to type II.

To complete the proof of the theorem it has only to be shown that the lattice  $\mathcal{L}_1$  is admissible. For this lemma 17 is applied with  $D = 6$ .

The points of  $\mathcal{L}_1$  with  $\xi, \eta$ , given by

$$0 < \xi^2 + \xi\eta + \eta^2 \leq 6,$$

are no other than  $\pm A_1, \pm A_2, \pm A_3, \pm 2A_1, \pm 2A_2, \pm 2A_3, \pm C_1, \pm C_2$ , and  $\pm C_3$ . They are all known to lie outside  $R$ . Therefore it has only to be proved that

$$f\{0, -\sqrt{7(a^2+ab+b^2)}, \sqrt{7(a^2+ab+b^2)}\} \geq 12\lambda c,$$

$$\text{i.e. } 3\lambda\{\sqrt{7(a^2+ab+b^2)}\} + \{63\lambda^2(a+ab+b^2) - 168\lambda(a^2+ab+b^2)\}^{\frac{1}{2}} \geq 12\lambda c.$$

By lemma 1.1

$$a^2 + ab + b^2 \geq 3c^2.$$

Therefore

$$3\lambda\{\sqrt{7(a^2+ab+b^2)}\} \geq 3\lambda c \sqrt{21} > 12\lambda c,$$

and the theorem is completely proved.

## 16. HYPERBOLIC HEXAGONS

Before taking up the hyperbolic regions it will be convenient to prove the following particular case of lemma 17.

LEMMA 19. *Let*

$$f\{0, -\sqrt{19(a^2+ab+b^2)}, \sqrt{19(a^2+ab+b^2)}\} \geq f(c, -2c, c).$$

Then  $\mathcal{L}_1$  is admissible if the points  $A_1 + 2A_2$  and  $A_1 + 3A_2$  do not lie inside  $R$ .

*Proof.* Because of the hypothesis it follows from lemma 17 that  $\mathcal{L}_1$  is admissible if all  $\xi A_1 + \eta A_2$  with  $0 < \xi^2 + \xi\eta + \eta^2 \leq 18$  lie outside  $R$ . It is easy to see that the only integers less than 19 which can be expressed as  $\xi^2 + \xi\eta + \eta^2$  are 1, 3, 4, 7, 9, 12, 13 and 16. The corresponding points  $\xi A_1 + \eta A_2$  can be obtained by rotations through multiples of  $\frac{1}{3}\pi$  from the points  $A_1, A_1 + A_2, 2A_1, A_1 + 2A_2, 2A_1 + A_2, 3A_1, 4A_1, A_1 + 3A_2, 2A_1 + 2A_2$  and  $3A_1 + A_2$ . Therefore the lemma will follow if all these points lie outside  $R$ . For this it has only to be shown that  $2A_1 + A_2$  and  $3A_1 + A_2$  do not lie inside  $R$ . This is an easy consequence of the convexity condition, since, for all  $n \geq 1$ ,  $nA_1$  and  $n(A_1 + A_2)$  lie in the part of the first sector external to  $R$ .

THEOREM 7. *Let  $R$  be a region with hexagonal symmetry bounded by hyperbolic arcs. Let  $(c, -2c, c)$  be the mid-point of one of these arcs and let the asymptotic angles of the hyperbolas be  $180^\circ - 2\theta$ . Write*

$$m_1 = \frac{1}{\sqrt{3}} \tan \theta; \text{ then}$$

(a) *If  $0 < m_1 \leq \frac{\sqrt{5}}{3}$ ,  $\Delta(R) = \Delta$  and the only critical lattice is generated by the mid-points of the boundary arcs.*

(b) *If  $\frac{\sqrt{5}}{3} < m_1 \leq 1$ , then  $\Delta(R) \geq \Delta$ , where*

$$\Delta = \frac{2}{\sqrt{3}} (a^2 + ab + b^2), \quad (16.1)$$

$$\text{and } 4c^2 = (a+b)^2 - m_1^2(a-b)^2 = (a+2b)^2 - 9a^2m_1^2. \quad (16.2)$$

However, if  $m_1^2 \leq \frac{53}{57} = 0.929 \dots$ ,  $\Delta(R)$  is equal to  $\Delta$  and there are just two critical lattices.

*Proof.* The perpendicular distance of  $(x, y, z)$  from the line  $x = z$  is easily seen to be  $\frac{1}{\sqrt{3}}(x - z)$ .

Therefore the equation of the boundary in the first sector is given by

$$y^2 - m_1^2(x - z)^2 = 4c^2, \quad \text{i.e.} \quad f(x, y, z) = \{y^2 - m_1^2(x - z)^2\}^{\frac{1}{2}} = 2c. \quad (16.3)$$

Now the two parts of the theorem will be proved separately.

(a) Since  $0 < m_1 \leq \frac{\sqrt{5}}{3}$ ,

$$f(0, -3c, 3c) = \{9c^2(1 - m_1^2)\}^{\frac{1}{2}} \geq 2c.$$

Therefore the region belongs to type I and the result follows on application of theorem 1.

(b) As  $m_1 > \frac{\sqrt{5}}{3}$ , the region belongs to types II, III or IV. Equations (4.2) of lemma 1 which define  $a$  and  $b$  are easily seen to be equivalent to (16.2). The relation (16.2), on dividing by  $b^2$  and writing  $a/b = j$ , gives

$$8m_1^2 j^2 + (2m_1^2 - 2)j - 3 - m_1^2 = 0. \quad (16.4)$$

It will now be proved that  $R$  belongs to types II or III. As explained in the preliminary remarks, it will suffice to prove that

$$a^2 + ab + b^2 \leq (a + 2b)c. \quad (16.5)$$

Write

$$\begin{aligned} F &= 4(a^2 + ab + b^2)^2 - 4(a + 2b)^2 c^2 \\ &= 4(a^2 + ab + b^2)^2 - (a + 2b)^2 \{(a + b)^2 - m_1^2(a - b)^2\} \\ &= b^4 [j^4(3 + m_1^2) + j^3(2 + 2m_1^2) - j^2(1 + 3m_1^2) - j(4 + 4m_1^2) + 4m_1^2] \\ &= b^4(j - 1) [j^3(3 + m_1^2) + j^2(5 + 3m_1^2) + 4j - 4m_1^2] \\ &= b^4(j - 1) \phi(j). \end{aligned} \quad (16.6)$$

Substituting for  $8m_1^2 j^2$  and  $64m_1^4 j^3$  from (16.4), one gets

$$\begin{aligned} 64m_1^4 \phi(j) &= 64m_1^4(4j - 4m_1^2) \\ &\quad + (5 + 3m_1^2) 8m_1^2 [(3 + m_1^2) - (2m_1^2 - 2)j] \\ &\quad + (3 + m_1^2) [8m_1^2(3 + m_1^2)j + (2 - 2m_1^2) \{(3 + m_1^2) + (2 - 2m_1^2)j\}] \\ &= j(12 + 132m_1^2 + 276m_1^4 - 36m_1^6) + (18 + 114m_1^2 + 102m_1^4 - 234m_1^6) \\ &= \psi(j). \end{aligned} \quad (16.7)$$

As  $m_1^2 \leq 1$ , both the terms in  $\psi(j)$  are non-negative, so that  $\psi(j) \geq 0$ . Further  $j \leq 1$ , therefore it easily follows from (16.7) and (16.6) that (16.5) is true and  $R$  belongs to types II or III, to both of which theorem II applies.

Now, in order to complete the proof, it has only to be shown that, for  $m_1^2 \leq \frac{53}{57}$ , the lattice  $\mathcal{L}_1$  is admissible. By lemma 19 this will follow if one can prove that

- (i)  $A_1 + 2A_2$  lies outside  $R$ ,
- (ii)  $A_1 + 3A_2$  lies outside  $R$ , and
- (iii)  $f\{0, -\sqrt{19}(a^2 + ab + b^2), \sqrt{19}(a^2 + ab + b^2)\} \geq 2c$ .

*Proof of (ii).* From table 1 the co-ordinates of  $A_1 + 3A_2$  are  $(4a + 3b, -a - 4b, b - 3a)$ . As both  $-a - 4b$  and  $b - 3a$  are negative,  $A_1 + 3A_2$  lies in the second sector. The boundary of  $R$  in the second sector has the equation

$$x^2 - m_1^2(z - y)^2 = 4c^2 = (a + 2b)^2 - 9a^2m_1^2.$$

\*  $\Delta(R)$  is probably equal to  $\Delta$  for other values of  $m_1$  too, but I have not been able to prove it.

Therefore, it has to be shown that

$$(4a + 3b)^2 - m_1^2(5b - 2a)^2 \geq (a + 2b)^2 - 9a^2m_1^2,$$

$$\text{i.e. } 15a^2 + 20ab + 5b^2 + 5a^2m_1^2 + 20abm_1^2 - 25b^2m_1^2 \geq 0. \quad (16 \cdot 8)$$

Since  $a \geq \frac{1}{2}b$  and  $m_1^2 \leq 1$ , it follows that

$$15a^2 + (20ab - 10b^2m_1^2) + (5b^2 - 5b^2m_1^2) + 5a^2m_1^2 + (20abm_1^2 - 10b^2m_1^2) \geq 0,$$

so that (16·8) and hence (ii) is proved.

*Proof of (i).* The co-ordinates of  $A_1 + 2A_2$  are  $(3a + 2b, -a - 3b, b - 2a)$ . As both  $-a - 3b$  and  $b - 2a$  are non-positive,  $A_1 + 2A_2$  lies in the second sector. Therefore, one has to prove that

$$(3a + 2b)^2 - m_1^2(4b - a)^2 \geq (a + 2b)^2 - 9a^2m_1^2,$$

$$\text{i.e. } 8a^2 + 8ab + 8a^2m_1^2 + 8abm_1^2 - 16b^2m_1^2 \geq 0,$$

$$\text{or } \phi(j) = j^2(1 + m_1^2) + j(1 + m_1^2) - 2m_1^2 \geq 0. \quad (16 \cdot 9)$$

Substituting for  $8m_1^2j^2$  from (16·4), one has

$$\begin{aligned} 8m_1^2\phi(j) &= 8m_1^2(1 + m_1^2)j - 16m_1^4 + (1 + m_1^2)\{(3 + m_1^2) - (2m_1^2 - 2)j\} \\ &= j(1 + m_1^2)(6m_1^2 + 2) + 3 + 4m_1^2 - 15m_1^4 \\ &\geq \frac{1}{2}(1 + m_1^2)(6m_1^2 + 2) + 3 + 4m_1^2 - 15m_1^4 \\ &= 4 + 8m_1^2 - 12m_1^4 \\ &\geq 0, \end{aligned}$$

since  $j \geq \frac{1}{2}$  and  $m_1^2 \leq 1$ . From this (16·9) and hence (i) follows.

*Proof of (iii).*

$$f\{0, -\sqrt{19(a^2 + ab + b^2)}, \sqrt{19(a^2 + ab + b^2)}\} = \{19(a^2 + ab + b^2)(1 - m_1^2)\}^{\frac{1}{2}}.$$

Therefore (iii) is equivalent to

$$19(a^2 + ab + b^2)(1 - m_1^2) \geq 4c^2.$$

As  $a^2 + ab + b^2 \geq 3c^2$ , and  $m_1^2 \leq \frac{53}{57}$ ,

$$19(a^2 + ab + b^2)(1 - m_1^2) - 4c^2 \geq c^2(53 - 57m_1^2) \geq 0.$$

This completes the proof.

By more detailed arguments it is possible to prove (iii) for  $m_1 \leq 0.9317 \dots$ , and hence to prove that  $\Delta(R) = \Delta$  for  $\frac{5}{9} < m_1^2 \leq 0.9317$ .

## 17. TWELVE-SIDED STARS

**THEOREM 8.** *Let  $R$  be a star-shaped equal-sided dodecagon with centre at the origin, remoter vertices on the lines  $x = 0$ ,  $y = 0$  and  $z = 0$ , and nearer vertices on the lines  $y = z$ ,  $z = x$  and  $x = y$ . Let the co-ordinates of one of the vertices be  $(c, -2c, c)$  and the vertical angle be  $2\theta = 2 \tan^{-1} m$ .*

(a) *If  $60^\circ \leq 2\theta \leq 120^\circ$ , then  $\Delta(R) = 2c^2\sqrt{3}$ , and the only critical lattice is generated by the nearer vertices.*

(b) *Let  $x_1 = 0.422 \dots$  be a root of the equation*

$$3x^4 - 2x^3 - 36x^2 - 6x + 9 = 0. \quad (17 \cdot 1)$$

*Suppose that  $x_1 \leq m\sqrt{3} = 3m_1 < 1$ , then  $\Delta(R) = \Delta$ , where*

$$\Delta = \frac{2}{\sqrt{3}}(a^2 + ab + b^2), \quad (17 \cdot 2)$$

$$\text{and } c(1 + 3m_1) = a + (2b + a)m_1 = (b - a) + (3a + 3b)m_1. \quad (17 \cdot 3)$$

*Also, there are just two critical lattices.*

*Proof.* As  $m_1 = \frac{1}{\sqrt{3}}m$ , the equation of the boundary lines is easily seen to be

$$f(x, y, z) = c(1 + 3m_1),$$

$$\text{where } f(x, y, z) = \begin{cases} x + m_1(z - y), & \text{when } 0 \leq x \leq z, \\ z + m_1(x - y), & \text{when } 0 \leq z \leq x, \\ -z + m_1(x - y), & \text{when } 0 \leq -z \leq -y, \text{ and} \\ -y + m_1(x - z), & \text{when } 0 \leq -y \leq -z. \end{cases} \quad (17.4)$$

For  $2\theta \leq 120^\circ$ ,  $R$  is easily seen to be a region with hexagonal symmetry. Now take up parts (a) and (b) separately.

(a) As  $2 \tan^{-1} m = 2\theta \geq 60^\circ$ , therefore  $m \geq \frac{1}{\sqrt{3}}$  and  $m_1 \geq \frac{1}{3}$ . Therefore

$$f(0, -3c, 3c) - f(c, -2c, c) = 6m_1c - (1 + 3m_1)c \geq 0,$$

so that  $R$  is a region of type I and part (a) of the theorem follows on application of theorem 1.

(b) Now  $0.422 \dots \leq 3m_1 < 1$ , and  $R$  is obviously a region of type IV. Equations (4.2) and (4.7) which define  $a, b$  and  $\Delta$  are clearly equivalent to (17.2) and (17.3). From (17.3) one gets

$$a/b = (1 + m_1)/2(1 - m_1). \quad (17.5)$$

In order to apply theorem 3 it has first to be shown that the area condition postulated therein is satisfied. As explained in the preliminary remarks it will suffice to prove that if  $P(x_1, y_1, z_1)$  is any point on the boundary between  $A_1(a, -a - b, b)$  and  $T_1(c, -2c, c)$ , and if  $p, q, r$  are real numbers with  $p + q + r = 0$  for which the points  $(p \pm \frac{1}{2}x_1, q \pm \frac{1}{2}y_1, r \pm \frac{1}{2}z_1)$  lie on the second sector boundary, then

$$\Delta\sqrt{3} \leq |p(z_1 - y_1) - x_1(r - q)| = |p(2z_1 + x_1) - x_1(2r + p)|, \quad (17.6)$$

with the sign of equality only when  $P$  coincides with  $T_1$  and  $m_1 = m'$  (say).

Since  $x + y + z = 0$  for all points in the plane, one obtains from (17.4) after eliminating the middle co-ordinates

$$x_1 + m_1(2z_1 + x_1) = c(1 + 3m_1), \quad (17.7)$$

$$-\frac{1}{2}z_1 - r + m_1(x_1 + 2p + \frac{1}{2}z_1 + r) = c(1 + 3m_1), \quad (17.8)$$

and 
$$p - \frac{1}{2}x_1 + r - \frac{1}{2}z_1 + m_1(p - \frac{1}{2}x_1 - r + \frac{1}{2}z_1) = c(1 + 3m_1). \quad (17.9)$$

By addition and subtraction, (17.8) and (17.9) give

$$-\frac{1}{2}(x_1 + 2z_1)(1 - m_1) + p(1 + 3m_1) = 2c(1 + 3m_1), \quad (17.10)$$

and 
$$(p + 2r)(1 - m_1) - \frac{1}{2}x_1(1 + 3m_1) = 0. \quad (17.11)$$

Therefore

$$\begin{aligned} & (1 + 3m_1)(1 - m_1) \{p(2z_1 + x_1) - x_1(2r + p)\} \\ & = 2c(1 - 3m_1)(1 - m_1)(x_1 + 2z_1) + \frac{1}{2}(x_1 + 2z_1)^2(1 - m_1)^2 - \frac{1}{2}x_1^2(1 + 3m_1)^2, \end{aligned}$$

so that 
$$\begin{aligned} & (1 + 3m_1)(1 - m_1) \{p(2z_1 + x_1) - x_1(2r + p) - \Delta\sqrt{3}\} \\ & = 2c(1 + 3m_1)(1 - m_1)(x_1 + 2z_1) + \frac{1}{2}(x_1 + 2z_1)^2(1 - m_1)^2 \\ & \quad - \frac{1}{2}x_1^2(1 + 3m_1)^2 - (1 + 3m_1)(1 - m_1)\Delta\sqrt{3} \\ & = \Phi(x_1, z_1) \quad (\text{say}). \end{aligned} \quad (17.12)$$

Since  $0 < m_1 < 1$ , the area condition will obviously be satisfied if it can be shown that at all points on  $A_1T_1$ ,  $\Phi(x_1, z_1) > 0$ , except that  $\Phi = 0$  at  $T_1$  for  $m_1 = \bar{m}$ . Along  $A_1T_1$  from  $A_1$  to  $T_1$ ,  $x$  increases continuously. Therefore it will suffice to prove that

- (i) Along  $A_1T_1$ ,  $\Phi$  is a strictly increasing function of  $x$ , and
- (ii) At the point  $T_1$ ,  $\Phi > 0$ , except if  $m_1 = \bar{m}$ , when  $\Phi = 0$ .

Now, the equation of  $A_1T_1$  is

$$x + m_1(2z + x) = c(1 + 3m_1).$$

Therefore along  $A_1T_1$

$$\frac{dz}{dx} = -\frac{1 + m_1}{2m_1},$$

$$\text{and } \frac{d\Phi}{dx} = -x(1 + 3m_1)^2 + \{2c(1 + 3m_1)(1 - m_1) + (x_1 + 2z_1)(1 - m_1)^2\} \left\{1 - \frac{1 + m_1}{m_1}\right\} < 0.$$

This proves (i). Now to prove (ii).

Since  $b = 2a(1 - m_1)/(1 + m_1)$ , (17.3) gives

$$c(1 + 3m_1) = a \left\{ (1 + m_1) + \frac{4m_1(1 - m_1)}{1 + m_1} \right\} = \frac{a}{(1 + m_1)} (1 + 6m_1 - 3m_1^2), \quad (17.13)$$

and

$$\begin{aligned} \Delta\sqrt{3} &= 2(a^2 + ab + b^2) \\ &= 2a^2 \left\{ 1 + \frac{2(1 - m_1)}{1 + m_1} + \frac{4(1 - m_1)^2}{(1 + m_1)^2} \right\} \\ &= \frac{2a^2}{(1 + m_1)^2} (7 - 6m_1 + 3m_1^2). \end{aligned} \quad (17.14)$$

From (17.12), (17.13) and (17.14) it follows that at  $T_1(c, -2c, c)$

$$\begin{aligned} \Phi &= \frac{1}{2} \{ 12(1 + 3m_1)(1 - m_1)c^2 + 9(1 - m_1)^2c^2 - (1 + 3m_1)^2c^2 - 2(1 + 3m_1)(1 - m_1)\Delta\sqrt{3} \} \\ &= \frac{a^2}{2} \left[ \frac{(20 - 36m_1^2)(1 + 6m_1 - 3m_1^2)^2}{(1 + m_1)^2(1 + 3m_1)^2} - \frac{4(1 + 3m_1)(1 - m_1)(7 - 6m_1 + 3m_1^2)}{(1 + m_1)^2} \right] \\ &= \frac{4a^2(3m_1 - 1)}{(1 + m_1)^2(1 + 3m_1)^2} (27m_1^4 - 6m_1^3 - 36m_1^2 - 2m_1 + 1) \\ &= \frac{4a^2(3m_1 - 1)}{(1 + m_1)^2(1 + 3m_1)^2} \Psi(m_1) \quad (\text{say}). \end{aligned} \quad (17.15)$$

By the rule of signs,  $\Psi(m_1) = 0$  cannot have more than two positive roots. Further

$$\Psi(0) > 0, \quad \Psi\left(\frac{1}{3}\right) < 0 \quad \text{and} \quad \Psi(\infty) > 0.$$

Therefore  $\Psi(m_1) = 0$  has exactly two positive roots  $\bar{m}$  and  $m'$  and

$$0 < \bar{m} < \frac{1}{3}, \quad \frac{1}{3} < m' < \infty. \quad (17.16)$$

Consequently

$$\Psi(m_1) = (m_1 - \bar{m})(m_1 - m')\bar{\Psi}(m_1),$$

where  $\bar{\Psi}(m_1)$  is positive for all positive  $m_1$ . From the expression for  $\Psi(m_1)$  in (17.15) it is now clear that  $\bar{m}$  is the root in the interval  $(0, \frac{1}{3})$  of

$$27m_1^4 - 6m_1^3 - 36m_1^2 - 2m_1 + 1 = 0.$$

Write  $3\bar{m} = x_1$ . Then  $x_1$  is the root in  $(0, 1)$  of

$$3x^4 - 2x^3 - 36x^2 - 6x + 9 = 0.$$

Now for all  $x_1 = 3\bar{m} < 3m_1 < 1$ ,  $\Psi(m_1) < 0$ . Also  $\Psi(\bar{m}) = 0$ . Therefore it follows from (17.14) that at  $T_1$ ,  $\Phi > 0$  for all  $x_1 = 3\bar{m} < 3m_1 < 1$  and  $\Phi = 0$  for  $m_1 = \bar{m}$ . This proves (ii), so that the area condition of theorem 3 is satisfied.

In view of all this the theorem will follow by the application of theorem 3 if it can be shown that (a)  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are admissible, and (b) for  $m_1 = \bar{m}$ , the lattice generated by  $(c, -2c, c)$  and  $(2c, -c, -c)$  is not admissible.

By the corollary to lemma 18, (b) follows from the

LEMMA. *Let  $p, q$  and  $r$  be defined by (17·10) and (17·11) with both  $x_1$  and  $z_1$  replaced by  $c$ . Then the point  $(p - \frac{3}{2}c, q + 3c, r - \frac{3}{2}c)$  does not lie on the boundary of  $R$ .*

*Proof.* Write

$$x' = p - \frac{3c}{2} = 2c + \frac{3c(1-m_1)}{2(1+3m_1)} - \frac{3c}{2} = \frac{2c}{(1+3m_1)} \quad (\text{by 17·10})$$

and

$$\begin{aligned} y' &= -p - r + 3c = -\frac{1}{2} \left\{ \frac{c(1+3m_1)}{2(1-m_1)} + \frac{c(7+9m_1)}{2(1+3m_1)} \right\} + 3c \\ &= \frac{-2c(1+m_1)}{(1-m_1)(1+3m_1)} + 3c \\ &= \frac{c(1+4m_1-9m_1^2)}{(1-m_1)(1+3m_1)}. \end{aligned}$$

Since  $m_1 < \frac{1}{3}$ , it is easily seen that  $0 \leq y' \leq x'$ . The equation of the boundary of  $R$  for  $0 \leq y \leq x$  is

$$y + m_1(2x + y) = c(1 + 3m_1).$$

Now

$$\begin{aligned} y' + m_1(2x' + y') &= c \left\{ \frac{(1+m_1)(1+4m_1-9m_1^2)}{(1-m_1)(1+3m_1)} + \frac{4m_1}{(1+3m_1)} \right\} \\ &= \frac{c(1+9m_1-9m_1^2-9m_1^3)}{(1-m_1)(1+3m_1)}. \end{aligned}$$

Therefore, if  $(x', y')$  lay on the boundary of  $R$ , it would follow that

$$1 + 9m_1 - 9m_1^2 - 9m_1^3 = (1 - m_1)(1 + 3m_1)^2 = 1 + 5m_1 + 3m_1^2 - 9m_1^3,$$

or

$$4m_1(1 - 3m_1) = 0,$$

which is not true, since  $m_1$  is neither zero nor  $\frac{1}{3}$ . Therefore the lemma and hence also (b) follows.

To prove (a) apply lemma 17 with  $D = 6$ . For  $0 < \xi^2 + \xi\eta + \eta^2 \leq 6$ , the points of  $\mathcal{L}_1$  are easily seen to lie on the boundary of  $R$ . Therefore, it has only to be proved that

$$f\{0, -\sqrt{7(a^2+ab+b^2)}, \sqrt{7(a^2+ab+b^2)}\} \geq c(1+3m_1),$$

i.e.

$$2m_1\sqrt{7(a^2+ab+b^2)} \geq c(1+3m_1).$$

Using (17·13) and (17·14), this is equivalent to

$$\begin{aligned} 0 &\leq 28(a^2+ab+b^2)m_1^2 - (1+3m_1)^2c^2 \\ &= \frac{28m_1^2(7-6m_1+3m_1^2)a^2}{(1+m_1)^2} - \frac{a^2(1+6m_1-3m_1^2)^2}{(1+m_1)^2} \\ &= \frac{a^2}{(1+m_1)^2} (75m_1^4 - 132m_1^3 + 166m_1^2 - 12m_1 - 1) \\ &= \frac{a^2}{(1+m_1)^2} x(m_1) \quad (\text{say}). \end{aligned} \tag{17·18}$$



Now

$$\begin{aligned}\frac{dx(m_1)}{dm_1} &= 300m_1^3 - 396m_1^2 + 332m_1 - 12 \\ &= 300m_1^3 + 132m_1(1 - 3m_1) + 200m_1 - 12 \\ &> \frac{1}{3} \cdot 200(0.4) - 12 \\ &> 0,\end{aligned}$$

since  $0.4 < 3m_1 < 1$ . Therefore, for  $x_1 = 0.422 \dots \leq 3m_1 < 1$ ,

$$\begin{aligned}x(m_1) &> x\left(\frac{0.4}{3}\right) \\ &= \frac{1}{27} \{25(0.4)^4 - 132(0.4)^3 + 498(0.4)^2 - 108(0.4) - 27\} \\ &> 0.\end{aligned}$$

Thus (17.18) and consequently (a) is proved. This completes the proof of the theorem.

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